Bachelor Project



Czech Technical University in Prague



Faculty of Electrical Engineering Department of Cybernetics

Decision Trees Behavior Visualization and Analysis

Jan Krátký

Supervisor: Ing. Petr Vondrášek Study program: Open Informatics Specialisation: Artificial Intelligence and Computer Science May 2023



I. Personal and study details

Student's name:	Krátký Jan	Personal ID number:	493779
Faculty / Institute:	Faculty of Electrical Engineering		
Department / Institu	ute: Department of Cybernetics		
Study program:	Open Informatics		
Specialisation:	Artificial Intelligence and Computer Science		

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Decision Trees Behavior Visualization and Analysis

Bachelor's thesis title in Czech:

Vizualizace a analýza chování rozhodovacích stromů

Guidelines:

- 1) Study how Seznam.cz currently uses decision trees for evaluation of the search results relevance.
- 2) Explore and compare decision tree visualization libraries for Python.
- 3) Propose methods to extract useful information about the model from the available data.
- 4) Implement the proposed methods and asses its contributions.
- 5) Evaluate the benefits of the implemented solution and compare the results with the current solution used in Seznam.cz.

Bibliography / sources:

[1] A Visual Introduction to Machine Learning. www.r2d3.us/visual-intro-to-machine-learning-part-1.

- [2] CatBoost State-of-the-art Open-source Gradient Boosting Library With Categorical Features Support. https://catboost.ai/.
 [3] Płoński, Piotr. Visualize a Decision Tree in 4 Ways With Scikit-Learn and Python. MLJAR, 22 June 2020, mljar.com/blog/visualize-decision-tree.
- [4] How to visualize decision tree. How to visualize decision trees. (n.d.), https://explained.ai/decision-tree-viz/
- [5] Tamara Munzner. Visualization Analysis and Design. A K Peters Visualization Series, CRC Press, 2014

Name and workplace of bachelor's thesis supervisor:

Ing. Petr Vondrášek Seznam.cz, a.s. Praha

Name and workplace of second bachelor's thesis supervisor or consultant:

Ing. Petr Pošík, Ph.D. Department of Cybernetics FEE

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Ing. Petr Vondrášek
Supervisor's signatureprof. Ing. Tomáš Svoboda, Ph.D.
Head of department's signatureprof. Mgr. Petr Páta, Ph.D.
Dean's signature

III. Assignment receipt

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Date of assignment receipt

Student's signature

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Declaration

I declare that the presented work was developed independently and that I have listed all sources of information used within it in accordance with the methodical instructions for observing the ethical principles in the preparation of university theses.

Prague, May 26, 2023

Abstract

Companies utilize machine learning models for practical business decisions, and as the use of these models increases, so does the need for effective visualization techniques. These techniques can help make machine learning models more accessible and intuitive, and they can also be utilized for future model improvements.

This thesis emphasizes the importance of visualizing decision trees, which serve as fundamental building blocks for more complex models. The thesis explores various approaches to decision tree visualization and proposes an interactive method suitable for a model used for evaluating the relevance of search results in Seznam.cz. This method captures the model's structure along with the data flows passing through it.

Keywords: Machine learning visualization, Decision trees, Gradient boosting, Search engine

Supervisor: Ing. Petr Vondrášek Seznam.cz, a. s., Radlická 3294/10, 15000 Praha 5

Abstrakt

Společnosti využívají modely strojového učení pro praktická obchodní rozhodnutí a s rostoucím využitím těchto modelů roste i potřeba účinných technik jejich vizualizace. Tyto techniky mohou pomoci zpřístupnit a intuitivním způsobem prezentovat modely strojového učení, mohou být také použity k jejich budoucímu vylepšení.

Tato práce zdůrazňuje význam vizualizace rozhodovacích stromů, které slouží jako základní stavební kameny pro složitější modely. Práce zkoumá různé přístupy k vizualizaci rozhodovacích stromů a navrhuje interaktivní metodu vhodnou pro model, který se používá k vyhodnocování relevance výsledků vyhledávání ve společnosti Seznam.cz. Tato metoda zachycuje strukturu modelu spolu s datovými toky, které jím procházejí.

Klíčová slova: Vizualizace strojového učení, Rozhodovací stromy, Gradient boosting, Vyhledávač

Překlad názvu: Vizualizace a analýza chování rozhodovacích stromů

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Chapter 1 Introduction

Visualization plays crucial role in computer science, as it allows for complex data to be displayed in a way that is easily understandable to humans. Data visualization is used to represent information in the form of graphs, maps, diagrams or other visual formats, making it possible to gain insights and discover patterns that might be missed through numerical analysis alone. It has gained a special place in the field of machine learning. Companies utilize machine learning models to make practical business decisions, and as the use for them continues to grow, so does the need for effective visualization techniques. These techniques can help to make machine learning models more accessible and intuitive, and may even be used to improve models in the future.

This work highlights the significance of visualizing machine learning models, with focus on decision trees which are fundamental building blocks of gradient boosting machines particularly inspected in this work. It explores different approaches of the decision tree visualization and proposes a an interactive method that captures model behavior together with the structure of data flows that pass through the model.

First, we will examine the basic terminology of standard decision trees and one specific type of tree construction in Chapter 2. This knowledge will then be used in the assembly of more complex models that utilize decision trees as fundamental building blocks (Chapter 3). The theoretical part is then contextualized within the domain of search engines in Chapter 4. After exploring currently used techniques for visualizing decision trees in Chapter 5, the proposed solution is described (Chapter 6).

Most of the content of the work revolves around a specific machine learning model provided by the Seznam.cz company, which in practice uses it to evaluate the relevance of search results. This model uses the output of several standard deicison trees as part of the gradient boosting technique (detailed in 3.2). The survey and practical examples in this work relate to individual decision trees in this model and the validation data both provided by Seznam.cz.

Chapter 2

Overview of the Decision Tree Algorithm

Deicison trees are popular type of supervised machine learning algorithm which handles non-linear data sets effectively and is used for both classification and regression tasks¹. They are easy to understand and interpret, making them popular choice among practitioners and researchers alike. Decision trees have been widely used in a variety of fields such as healthcare, finance, marketing, and image recognition, among others.

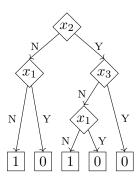


Figure 2.1: Basic structure of the decision tree

Altough modern advanced machine learning models, such as deep neural networks, typically perform better in many metrics due to the tendency of decision trees to overfit², they are not nearly as interpretable. Therefore, decision trees are also used as so-called surrogate models [1] for these blackbox classifiers. The idea is to get simpler model in the form of decision tree to replicate the black-box model predictions as best as possible, and at the same time, provide interpretability.

In the following sections we will explore the basic principles of standard decision tree algorithm in the context of later visualization techniques. Without going into unnecessary details, some machine learning methods for learning decision trees will be briefly presented.

¹Classification tasks involve categorizing data into predefined classes, while regression tasks involve predicting numerical values based on observed features.

²The model predicts unseen data with over-specialization on the training data.

2.1 Terminology

At high level, decision tree algorithm works by recursively partitioning the input space into smaller and smaller regions that should cluster parametrically similar data. The goal is to create tree-like structure where the leaf nodes of the tree represent the decision or the output of the classifier, while the branches represent the decision-making process. Each internal node of the tree corresponds to a split point. In it, based on the output of the given split function, the model decides which node to go to within the tree path.

The decision tree terminology further related to visualizations used in this work includes:

- Split node: An internal node in the tree that splits the data into two or more subsets based on certain split function.
 - Split function: A rule that determines how the data should be divided at each split node based on the value of selected split feature.
 - Split feature: A feature or an attribute of the input data that is used in the split function. It may be classified as categorical or continuous. Categorical feature is a variable that can take one of a limited, and usually fixed number of possible values. Continuous features, on the other hand, can take on one of an infinite number of numeric or float values.
 - Threshold: Boundary value for specific continuous feature that is used to split the data in the split function.
 - Branch: An edge connecting two nodes that represents the output of the split function.
 - Root node: The top-most node of the tree that in the context of a data stream represents the entire input dataset.
- Leaf node: A node that does not split the data anymore and represents the final outcome or decision. In the classifier type of decision tree the outcome is a class label, in regressor it is a numerical value.
- Depth: The length of the longest path from the root node to any leaf node, indicating the complexity or size of the tree.
- Level: A horizontal layer of nodes in the tree structure. The root node is considered to be at level 0, and subsequent levels are numbered sequentially.

2.1.1 Oblivious Decision Trees

In later sections, we will be interested in decision binary trees that only have continuous split features in their nodes. Moreover, the model that is the subject of visualization in this work uses so-called oblivious trees which are 2.2. Learning

grown symmetrically. In addition to being perfectly binary, they also use the same split functions in nodes at the same level of the tree. So each oblivious decision tree outputs one of 2^d decisions, where d is the depth of the tree. And that is done by using d split feature-threshold combinations, which are essentially parameters of that tree [4].

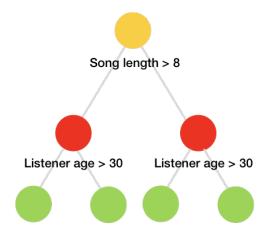


Figure 2.2: Example of an oblivious tree structure [2]

The choice of oblivious trees has several advantages compared to the general ones. Among them is a simple fitting scheme, efficiency of implementation on CPU and the ability to make very fast model appliers. It also works as a regularization, so it can provide quality benefits for many tasks [2].

2.2 Learning

There are several machine learning strategies to build an appropriate decision tree structure before applying the model to unseen test data. As is common practice in machine learning, they use data pre-labeled with target values in an optimization process called training. During the training, the model is structured in a such way that these training data passing through it are labeled as precisely as possible by the model itself. In the case of decision trees, leaf nodes should contain predominantly data with the same or similar target values.

The essence of the strategy itself is reduced to the choice of the optimal split function based on the incoming subset of training data. The optimal one is the one that splits the data most efficiently. Due to the structure of the decision tree, this method can be applied recursively to each node at each level until the required data purity, the specified depth or some other criterion is reached. After this growth phase, which is often called *a greedy algorithm* [9], practices like *pruning* [3] can be applied to improve the tree performance in the sense of obtaining better generalization on unseen data.

One of the most commonly used metrics to asses the optimality or gain from each possible splitting option in classification tasks is the Gini impurity. 2. Overview of the Decision Tree Algorithm

Based on the Gini-value in 2.1 we are first able to express the probability that two samples randomly chosen from dataset D have different class labels.

$$Gini(D) = 1 - \sum_{i=1}^{n} p_i^2[10]$$
(2.1)

n is the number of class labels in dataset D and p_i is denoted as the probability that class i occurs in dataset D.

Using the Gini-value the definition of the Gini-impurity index in 2.2 to asses the purity of the subsets made by the concerned split function can be set.

$$Gini_index(D,k) = \sum_{v=1}^{V} \frac{|D^v|}{|D|} Gini(D^v)[10]$$
(2.2)

 D^v represents one subset of dataset D classified based on split function k and V is the total number of subsets. The task of choosing the optimal split function is then expressed by minimization problem in 2.3 where the optimal k produces the least Gini-impurity index.

$$k^* = \arg\min_{k \in K} \operatorname{Gini_index}(D, k)[10]$$
(2.3)

Since in regression a continuous variable is predicted, the same process cannot be applied in these tasks. Instead, the mean square error metric (in 2.4) is often used in the regression. This measurement, according to which the split function with the greatest gain can be chosen, tells us how much the predictions deviate from the original target in individual subsets.

$$MSE = \frac{1}{n} \sum_{i=1}^{n} (y_i - \hat{y}_i)^2 [11]$$
(2.4)

n is the number of data samples in the given dataset, y is the actual target value of sample in training dataset and \hat{y} is the prediction.

Chapter 3

Improving Decision Trees with Ensembles

The standard decision tree algorithm has been extended in various ways to improve its performance and applicability to different types of problems. One common extension is the use of ensemble methods, which combine multiple decision trees to improve their accuracy and reduce an excessive specialization to the training data. They differ in the approach of building the ensemble and addressing the weaknesses of standard decision trees. The most common such approaches are bagging and boosting [12] and their concrete model instances in the form of Random Decision Forest and Gradient Boosting on Decision Trees (GBDT), which we will look at in the following sections.

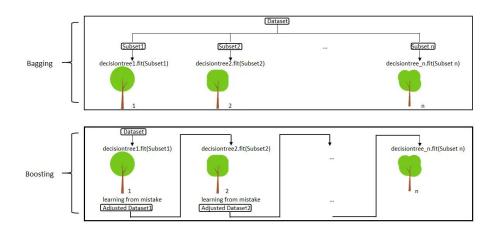


Figure 3.1: Methods of creating decision tree ensembles [15]

3.1 Random Decision Forest

One way to create and combine a set of decision trees to improve performance is the bootstrap aggregation, or bagging for short. The idea is to create several subsets of data from original training set chosen randomly with replacement. Each such collection is then used to grow single decision tree, together forming a tree set or forest. Predictions from this ensemble are then aggregated, usually done by averaging. Random Forest is an extension over bagging [14]. It takes one extra step where in addition to taking the random subset of data, it also uses the random selection of features to grow trees, which helps to reduce the correlation between the trees in the forest and ultimately reduces the generalization error.

Decision trees, where the selection of split functions is a random variable, also have the advantage of greater independence from data in the training process. Tree structures can first be built independently and then, using the training data, the probability estimates of each class in individual leaves $P(class \mid leaf)$ are calculated. On the other hand, in a random decision tree, the random split functions do not give us the same credible insight into the data as with standard decision trees with thoughtfully designed splits.

3.2 Gradient Boosting on Decision Trees

Another way of combining individual decision trees is boosting. Boosting leverages the idea of learning from your mistakes. It generally works by iteratively training decision trees via an error-based data reweighting scheme. We take a single training dataset, and use it to grow a single decision tree. Then the training set is re-weighted so that records with incorrectly predicted targets receive more weight and this weighted data trains another tree. The procedure continues until the limit of the number of trees or the required accuracy is reached. Predictions of the final ensemble model is the weighted sum of the predictions made by individual trees.

Boosting itself, which is best exemplified by the Adaboost technique [13], identifies the shortcomings by using high weight data points. In a similar manner, gradient boosting achieves the same objective by employing the gradient descent algorithm [14]. This algorithm optimizes the differentiable loss function which is a measure indicating how good are model's coefficients at fitting the underlying data.

In the context of decision trees, we can consider the mentioned regression mean square error metric from 2.4 for single data point mulitplied by coefficient of one half¹ as a loss function. If we differentiate this expression (in 3.1) with respect to the prediction value, we simply get the difference between the predicted value and the actual target value of that data point.

$$\frac{\partial}{\partial \hat{y}} \left(\frac{1}{2} (y - \hat{y})^2 \right) = \hat{y} - y \tag{3.1}$$

Evaluated derivative is then used as a new target value of the data points during training a new tree. The algorithm subsequently calculates the new residual based on aggregating the predictions of the previous trees with the prediction of the new one. This process is repeated for each subsequent tree.

¹The coefficient is often used for convenience and simplicity in the subsequent mathematical calculations, without fundamentally affecting the result.

Chapter 4

Search Engine Context

One industry where machine learning models derived from decision trees are used is in search engines. Within its search engine, Seznam.cz company uses the Gradient Boosting on Decision Trees model (inspected in 3.2) to evaluate the relevance of search results. The reason is not only the speed of the evaluation, but also the interpretability.

Seznam.cz divides search queries according to what user probably wanted to achieve by formulating the query. Several types of user intents are distinguished, the main ones include:

- Navigation: The user wanted to go to a specific page and expects this result on the first place.
- Company: The user is looking for a specific company, institution or web service.
- Goods: The user wants to buy, order or get something.
- Learn more/advice: A wide range of information queries ranged from troubleshooting to using online tools like calculator.
- Queries about news or current information.

These groups of queries have their own relevance evaluation model trained on different data.

Each sample in these training datasets contain a query string and a specific URL¹ address which could possibly appear on the search engine results page after entering this query. The job of the annotator in Seznam.cz is to label these pairs in the training data with the number 1 (positive label) when it is a relevant pair or with the θ (negative label) otherwise².

Samples in datasets are then enriched with properties derived from the query-URL pair. An example of a less sophisticated property would be the number of letters that appear in both the query and the URL. These continuous features are then used for training the model.

¹Uniform Resource Locator, a reference to a web resource that specifies its location on a computer network.

²As a target variable the "box" feature is used in validation set.

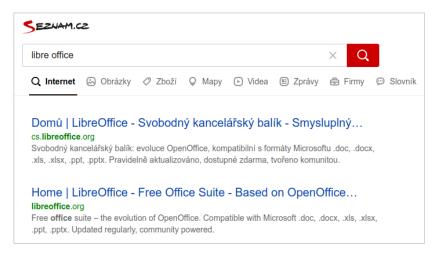


Figure 4.1: Search engine results page (SERP) with query string "libre office" in the search bar and relevant URL addresses *cs.libreoffice.org* and *libreoffice.org*

query	url	box	feature_0
libre office	https://cs.libreoffice.org/	1.0	255.0
lidl.cz	https://www.lidl.cz/	1.0	250.0
perfect clinic	https://www.firmy.cz/	0.0	255.0

 Table 4.1: Simplified example of navigation query validation data

Although the data are more of a classification nature (having only two types of target variables in the training dataset), in practice, regression models are employed. This model, upon being trained and provided with a set of features derived from a new unseen test pair, generates a float value indicating its perceived relevance. Generally, the higher this value is, the more relevant the pair is and the more likely the URL address is to appear on the search engine results page. The specific threshold for inclusion of an URL address on the results pages is then set based on the previous analysis of machine learning metrics as precision and recall³.

Seznam.cz uses GBMT models implemented by the CatBoost open-source library, which is developed by Yandex, a Russian multinational technology company. For practical reasons, CatBoost incorporates symmetric oblivious trees (described in 2.1.1) in its models.

This work uses a simplified CatBoost model stored in JSON format (4.2) and a validation data set containing navigation queries, both provided by Seznam.cz for the purposes of research and visualization design. As part of confidential company procedures, the original feature names in the validation dataset are replaced by universal names according to the feature indexes. So, instead of names that describe how the given feature was derived from the string query-URL pair, the names as *feature_0*, *feature_1* and so on are used.

³https://www.analyticsvidhya.com/blog/2020/09/precision-recall-machine-learning/



], 'feature_index":0, 'feature_index":0, 'feature_name":"feature_0", 'flat_feature_index":0, 'bas_nans":false,

8.0313739776611328, 15.463607788085938, 32.121002197265625, "border":64.344337463378906, "float_feature_index":2, "split_index":15, "split_type":"FloatFeature"

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Figure 4.2: Structure of the CatBoost model JSON file provided by Seznam.cz

Chapter 5

Exploring Contemporary Decision Tree Visualization Techniques

As machine learning models grow in complexity, gaining deeper insight into their behavior and performance becomes more important. One way to get such an understanding of model behavior is to track universal metrics common to all machine learning models. To gain deeper knowledge about the internal behavior of the model, the visualization of the model structure itself, which is specific to each model type, can be explored.

Common general metrics that are often visualized in the analysis may include, for example, feature importance. It quantifies the influence of each feature value on model predictions. By analyzing feature importance, we can identify the most significant factors driving the model's decision-making process.

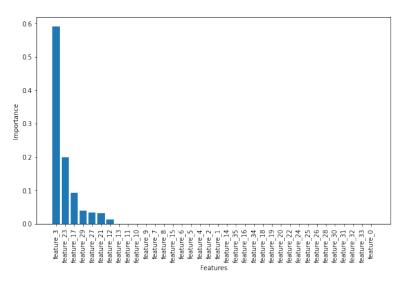


Figure 5.1: Graph of feature importance

Although the calculation of feature importance can be performed for various machine learning models, the specific method used may vary depending on the model type. In CatBoost models it is calculated by a measuring how much on average the prediction changes if the feature value changes [16]. Some

other gradient boosting machine implementations assign feature importance based on the number of times a feature is selected for splitting across all the trees in the ensemble.

In the following sections, model-specific visualizations methods related to decision trees will be explored. Some of these techniques go beyond just showing the tree structure but also provide additional information about how data flows through the model. Only methods available through python-based open-source libraries were considered.

Most of the following visualization libraries are not directly compatible with models created by the CatBoost library due to different implementations. In such cases, for the purpose of visualization demonstration, a model supported by these libraries was trained using validation data provided by Seznam.cz (in 5.1.2 and 5.2.1). In another case, for the visualization demonstration, a model of the supported type was trained using data available in the library's documentation (in 5.2.2). Given the classification nature of the data (described in 4), it was possible to train both regression and classification models from it. The properties of these visualizations were then utilized in the proposed solution.

5.1 Capturing Tree Structure and Node Details

One fundamental aspect of decision tree visualization is representing the hierarchical structure of the tree itself. Traditional methods often focus solely on displaying branching structure and target variable associated with each leaf node. The most common ones provide at least some understanding of the data distribution across the tree.

5.1.1 CatBoost

CatBoost offers its own built-method for plotting individual trees from the ensemble. This visualization with split functions in internal nodes, branch descriptions and outcome values in leaf nodes can serve as a base-level solution.

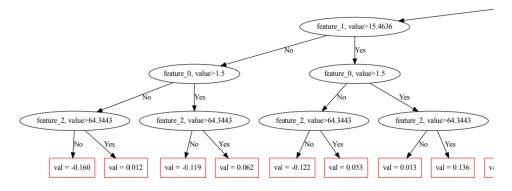


Figure 5.2: Part of the decision tree structure visualization made by the Catboost library

5.1.2 scikit-learn

Popular machine learning library scikit-learn offers several types of decision tree models and models derived from decision trees such as regression and classification type of standard decision tree, random decision forest or gradient boosting machines. In addition to their training and testing, it also provides their visualization. Apart from the primary structure, it also captures basic information about the data flow.

Visualization of the regressor (shown in 5.3) includes the counts of samples from the training dataset present in each node. Each node also contains the average target value of the samples present in it. Within the leaf nodes, these values serve as outcomes of the model.

Higher target values in the node are indicated by darker colors, intuitively conveying information about the distribution of data and the effectiveness of given split functions. Squared error as a measurement of impurity (described in 2.2) that was used by the model during training can be also seen in the node content.

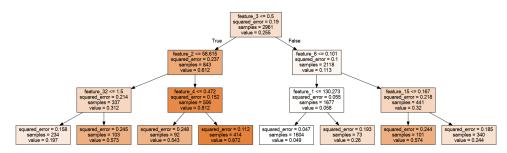


Figure 5.3: Visualization of the regressor decision tree structure by the scikitlearn library

Classification visualization (in 5.4) further divides the count of samples according to their target classes. Nodes are colored based on the class of the majority of samples present. The more is the color of the node satured the more samples of one specific class have majority over others. Node Gini impurity (described in 2.2) is also provided.

5.2 Additional Information about Data Flow

While capturing the tree structure and node details is valuable, better understanding how the model processes and routes data through the decision tree is equally important. Contemporary decision tree visualization methods go beyond static representations and incorporate dynamic visualizations that showcase more detailed flow of validation data as it traverses the tree. Some of these techniques offer insights into the path taken by individual samples, illustrating the decision-making process and highlighting the specific split functions encountered along the way. 5. Exploring Contemporary Decision Tree Visualization Techniques

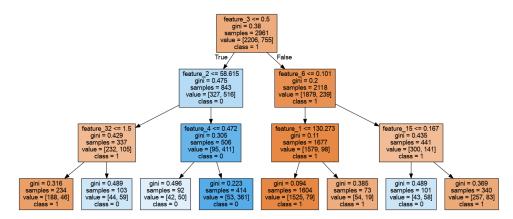


Figure 5.4: Visualization of the classifier decision tree structure by the scikitlearn library

5.2.1 dtreeviz

The dtreeviz library designed specifically for decision tree visualization and model interpretation captures in detail the split feature versus target value distributions and the number of samples in individual nodes. As mentioned by the creators of the library, whose visualizations are enriched with multiple types of graphs, the goal is to determine how well the target values can be separated based on the split functions [6].

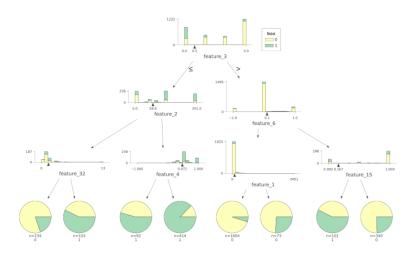
In the classification decision tree visualization (in 5.5) we can see how dtreeviz attempts to address the limitations of traditional scikit-learn visualizations from 5.1.2. Internal and leaf nodes are categorically distinguished using suitable types of graphs. Stacked histograms, with the x-axis representing the feature space and an arrow indicating the threshold, are used in internal nodes. Although the histograms clearly convey information about how far the values of split feature instances deviate from the thresholds, the information about sample counts in nodes is not as readable.

Leaf nodes are represented by pie charts that clearly show their purity.

In the regression variant (shown in 5.6), histograms and pie charts are replaced by scatter plots where y-axis corresponds with scale of target value. Horizontal dashed lines indicate the target mean for the left and right buckets and a vertical dashed line indicates the thresholds in feature space. The visualization of our model, or rather the data, is somewhat unfortunate in this case due to its nature (described in 4). The distribution of points in a scatter plot would be more useful in the case where the data has a target variable that can take more than two values.

Among other things, the library also offers a look at how a specific data sample is run down the tree to a leaf (shown in 5.7). Below the leaf node, to which the highlighted path of the sample leads, we can see a list of sample's split feature values that were used. This helps explain why a particular instance gets the prediction it does.

The dtreeviz library also allows visualizing the tree with different data than the one used in training, unlike the scikit-learn library. This enables



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Figure 5.5: Visualization of the decision tree classifier structure by the dtreeviz library $% \mathcal{F}_{\mathrm{struct}}$

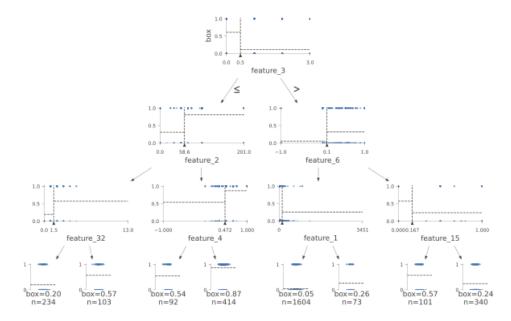


Figure 5.6: Visualization of the decision tree regressor structure by the dtreeviz library

5. Exploring Contemporary Decision Tree Visualization Techniques

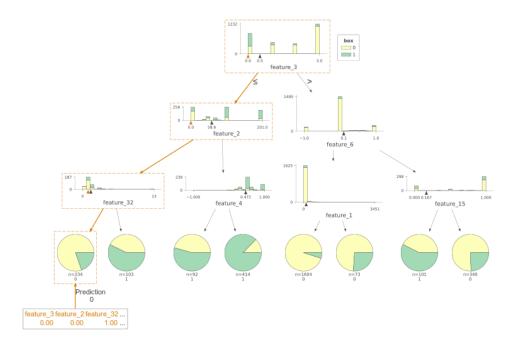


Figure 5.7: Visualization of the classification decision tree with the path of a specific instance

testing the tree structure on new validation data.

5.2.2 Pybaobabdt

Based on the study [17], the Pybaobabdt library from 2021 introduces a unique technique for visualizing classification decision trees. It replaces the classical node-link construction with a data-flow-based technique that seamlessly integrates tree visualization with data visualization. Each class is represented by a color, and the thickness of the stream corresponds to the number of instances present in a given branch or node.

This technique effectively and intuitively conveys certain information. It is clear which classes are easy to separate, which classes are similar or where does the main flow of items go. Additionally, this visualization is significantly more scalable than the previous ones. . .

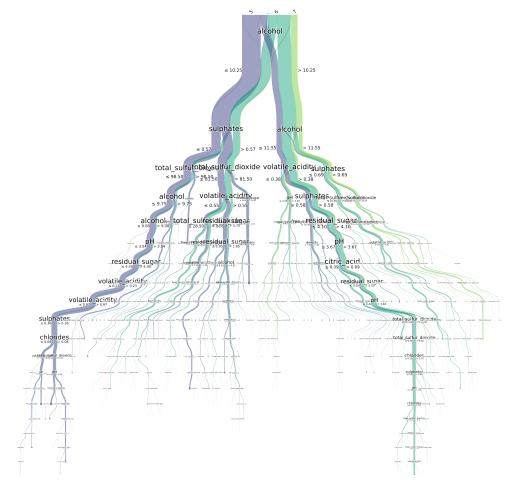


Figure 5.8: Visualization of the decision tree structure by the pybaobabdt library

Chapter 6

Proposed Solution

As part of the task to create a tool for Seznam.cz company that visualizes the behavior of a specific type of model used in practice, a web application was implemented. When designing the included visualization techniques, emphasis was placed on the effective utilization of the annotated dataset and its relationship with the model. The goal was to extract useful information beyond what the CatBoost library itself provides (base-level tree visualization, feature importance graph, and other metrics). This information can then be used to improve the model in the future.

As a result, a solution was created that draws inspiration from existing visualization libraries while also meeting the requirements of the client.

6.1 Preceding Efforts

Originally, the intention was to create a reporting tool that utilizes a combination of existing visualization libraries and techniques they offer. This would provide practitioners with multiple ways to examine the model's behavior. However, due to the incompatibility of the investigated libraries with CatBoost models, this idea was abandoned. Therefore, it was necessary to come up with a custom solution that would meet practical requirements.

The proposed solution, which provides scalable interactive visualizations of the model, resembles the output of the Pybaobabdt library (explored in 5.2.2) due to its use of data flows. Although this visualization is specific to decision tree models of the classification type, its concept can be applied to our regression CatBoost model due to the nature of the provided validation data (described in 4). The limited number of target variables allows us to treat them as classification classes.

The possibility of drawing inspiration from the dtreeviz library (surveyed in 5.2.1), which provides a detailed approach to the feature-target space using graphs, was also considered. However, for the sake of solution intuitiveness and the ability to visualize large trees, a more compact method was chosen. At least the option to visualize the path of a specific data instance was adopted, as enabled by this library.

For visualizing the flows as representations of data streams, the data

visualization library Plotly¹ was used. Besides offering other interactive and appealing visualizations, Plotly also allows the creation of so-called Sankey diagrams. These diagrams are commonly used to represent the movement of entities or elements from one state or category to another, emphasizing the proportions or sizes of the flow.

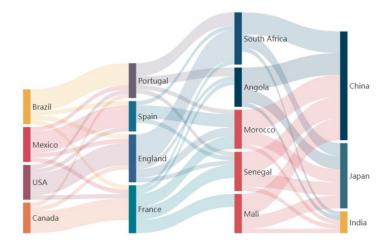


Figure 6.1: Sankey diagram used for visualization of immigrant flow [8]

After customizing the parameters and utilizing information extracted from the model file (shown in 4.2) and the validation data, the Sankey diagram can be used to visualize the decision tree with an emphasis on the data flowing through it. It mimics the structure of the tree, where the widths of individual branches correspond to the number of present data instances.

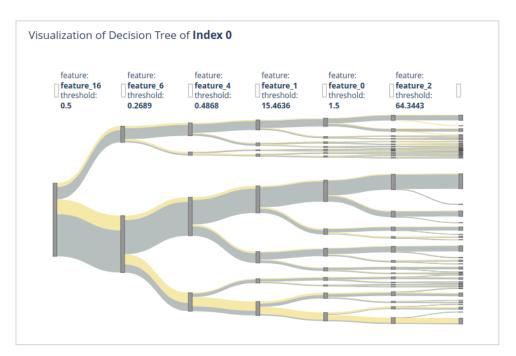
6.2 Interactive Application

The application allows for visualizing individual trees in the CatBoost GBDT model with validation data in such a way that the numbers of instances with positive or negative label values in the nodes of the tree are clearly visible (shown in 6.2, 6.4, 6.5). The widths of the tree branches correspond to the number of present data, and they are further segmented into positive and negative samples by color. This makes it easy to identify which features effectively split the data based on their target variable. It is also possible to identify the main flow of data.

Since the CatBoost model utilizes symmetric oblivious trees (described in 2.1.1), it is possible to mark all nodes at the same level with a single split function in the visualization. This is achieved through a legend, which appears at the top of the diagram in the visualizations.

Additional information such as the outputs of the split function, the output values of leaf nodes, or the percentage of specific instances out of the total

¹https://plotly.com/python/



6.2. Interactive Application

Figure 6.2: General visualization from proposed solution

number of instances in the dataset can be obtained by hovering the mouse over the corresponding node bar or branch segment.



Figure 6.3: Examples of labels displayed when hovering the mouse over a branch/leaf node (in the filtered-data mode)

In addition to the basic visualization mode demonstrated in 6.2, which provides a general view of the validation data flowing through the model, the application also includes two modes specifically focused on a user-specified data instance or group of instances.

The one-instance mode shown in 6.4 allows users to examine the path of a specific data instance from the validation dataset, specified by its index in the set. The branch segments in the instance path related to the target variable of that instance are darkened compared to the general visualization.

The filtered-data mode shown in 6.5 offers a special view of a user-specified subset of data. The data from the validation set can be filtered using conditions related to the main string attributes (query and URL address) or conditions on the numerical values of individual features.

In contrast to the general visualization, the flows corresponding to the queried data are darkened. Within these filtered data flows, the division into data parts with positive and negative target variables is preserved.

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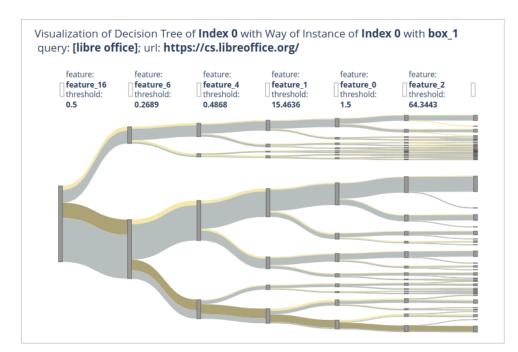


Figure 6.4: Queried-data-point visualization from proposed solution

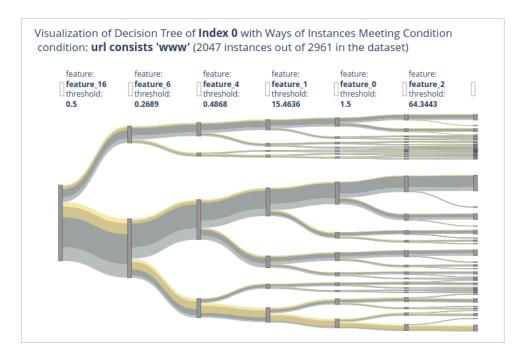


Figure 6.5: Queried-data visualization from proposed solution

6.2. Interactive Application

The visualization of filtered data allows practitioners to examine subsets with specific characteristics, such as data with queries that contain a certain regular expression.

6.2.1 Architecture

Due to the more user-friendly interface, the option to incorporate the proposed solution into a web application was chosen. Utilizing the server-side runtime environment Node.js and the web application framework Express.js, the backend part of the application executes Python scripts based on parameters to generate HTML pages with visualizations. The frontend part operates using traditional tools such as HTML, CSS, and JavaScript to create a form that can be filled with desired visualization parameters. After submitting the form to the backend by pressing a button, the corresponding script with the received parameters is executed, and the visualizations are subsequently displayed.

The content of the main page is enriched with a static user manual and tutorial for the form and the visualizations themselves. To enhance the visual appeal of the application, the Bootstrap frontend framework was utilized, providing a collection of pre-designed components.

To display the visualizations, it is necessary to have a CatBoost model with a validation dataset in specific formats uploaded in the "data" directory before running the application. The Python scripts retrieve all the necessary values for visualization from there.

Visualizat	ion Mode	/w Filtered	Subset 🗸	Index of Tree	0		Display Visualization
Feature	query	~	String Operation	consists	~	String Expression	seznam
Color Bo>	(1	Color Box 0	Set Defau	lt Colors			

Figure 6.6: Form with visualization parameters in the application

Chapter 7 Conclusion

We explored selected methods for visualizing decision trees, specifically Python libraries that implement these methods, and evaluated their benefits. Based on these benefits, along with the requirements of the stakeholder, we proposed our own solution for visualizing decision trees for a specific model used in Seznam.cz. The solution, incorporated into a web application, addresses the need to easily understand how validation data interacts with the model. Emphasis is also placed on the ability to thoroughly explore a specified group of data that could be relevant to the analysis or problem at hand. The resulting application provides a deeper insight into the model's behavior than the currently used methods in Seznam.cz (base-level tree visualization, feature importance, and other standard metrics).

Further enhancements could include, for example, a greater focus on detail in the visualization (such as displaying a list of all present data samples upon clicking a node), overall robustness of the application, or generalization to multiple types of models derived from decision trees.

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7. Conclusion

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