# Towards characterizing locally common graphs<sup>\*</sup> Robert Hancock<sup>†</sup> Daniel Král<sup>'‡</sup> Matjaž Krnc<sup>§</sup> Jan Volec<sup>¶</sup>

#### Abstract

A graph H is *common* if the number of monochromatic copies of H in a 2-edge-coloring of the complete graph is asymptotically minimized by the random coloring. The classification of common graphs is one of the most intriguing problems in extremal graph theory. We study the notion of weakly locally common graphs considered by Csóka, Hubai and Lovász [arXiv:1912.02926], where the graph is required to be the minimizer with respect to perturbations of the random 2-edge-coloring. We give a complete analysis of the 12 initial terms in the Taylor series determining the number of monochromatic copies of H in such perturbations and classify graphs H based on this analysis into three categories:

- graphs of Class I are weakly locally common,
- graphs of Class II are not weakly locally common, and
- graphs of Class III cannot be determined to be weakly locally common or not based on the initial 12 terms.

As a corollary, we obtain new necessary conditions on a graph to be common and new sufficient conditions on a graph to be not common.

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<sup>\*</sup>The work of the second author has received funding from the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme (grant agreement No 648509). This publication reflects only its authors' view; the European Research Council Executive Agency is not responsible for any use that may be made of the information it contains. The first and the second authors were also supported by the MUNI Award in Science and Humanities of the Grant Agency of Masaryk University. The third author was supported in part by the Slovenian Research Agency (research program P1-0383 and research project N1-0160).

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## 1 Introduction

Ramsey's theorem states that, for any graph H, every 2-edge-coloring of the complete graph  $K_n$  contains a monochromatic copy of H, provided that n is sufficiently large. The natural quantitative question stemming from this classical theorem is the following: What is the minimum number of monochromatic copies of H contained in a 2-edge-coloring of  $K_n$ ? In particular, is the minimum achieved by the random 2-edge-coloring of  $K_n$ ? Our main result is a complete analysis of the initial 12 terms of the polynomial determining the number of monochromatic copies of H in a perturbation of the random 2-edge-coloring of  $K_n$ .

We next put our results in a broader context. A graph H is common if the number of monochromatic copies of H is asymptotically minimized by the random 2-edge-coloring of  $K_n$ . The notion of common graphs originated in the 1980s but can be traced to even older results. Indeed, the classical result of Goodman [15] implies that the graph  $K_3$  is common, which led Erdős [8] to conjecture that every complete graph is common; this conjecture was extended by Burr and Rosta [1] to all graphs. Sidorenko [28] disproved the Burr–Rosta Conjecture by showing that a triangle with a pendant edge is not common, and around the same time, Thomason [32] disproved the original conjecture of Erdős by establishing that  $K_p$  is not common for any  $p \ge 4$ . Several additional constructions showing that  $K_p$  is not common for  $p \ge 4$  have since been found [12, 13, 33], and more generally, Jagger, Štovíček and Thomason [18] showed that no graph containing a copy of  $K_4$  is common. Determining the asymptotics of the minimum number of monochromatic copies of  $K_4$  remains an open problem despite many partial results [14, 24, 30].

A characterization of common graphs is one of the most intriguing problems in extremal graph theory; there is not even a conjecture for a possible characterization of common graphs. On one hand, common graphs include odd cycles [28] and even wheels [18], and additional examples of common graphs can be obtained by certain gluing operations [18,27]. Only recently, an example of a common graph with chromatic number larger than 3 was identified: the 5-wheel was shown to be common in [17] using Razborov's flag algebra method introduced in [25]. On the negative side, Fox [10] proved that every (connected) non-bipartite graph is a subgraph of a connected graph that is not common. We also refer the reader to [7, 19] for results on the analogous concept involving more colors.

Common graphs are very closely linked to Sidorenko graphs. A graph H is *Sidorenko* if the number of copies of H in any graph G is asymptotically bounded from below by the number of copies of H in the random graph of the same density as G. It easily follows that every Sidorenko graph is bipartite and a convexity argument yields that every Sidorenko graph is common. A well known conjecture of Sidorenko [26, 29], which is equivalent to an earlier conjecture of Erdős and Simonovits [9], asserts that in fact every bipartite graph is Sidorenko. So, if true,

then every bipartite graph would be common. Many families of bipartite graphs are known to be Sidorenko [2–5, 16, 20, 31], however, the complete solution of the conjecture seems to be out of reach.

Sidorenko's Conjecture is well-understood in the local setting, i.e., when perturbations of the random graph with a given edge density are considered. Lovász [21] showed that no fixed perturbation decreases the number of copies of a graph H if and only if H is a tree or its girth is even. In the language of theory of graph limits, which we introduce in Section 2, this result asserts that for every such graph H and every kernel U with  $t(K_2, U) = 0$ , there exists  $\varepsilon_0 > 0$  such that

$$t(H, 1/2) \le t(H, 1/2 + \varepsilon U)$$
 for every  $\varepsilon \in (0, \varepsilon_0)$ .

Fox and Wei [11] strengthened the result of Lovász and proved the following: for every such graph H, there exists  $\varepsilon_0 > 0$  such that  $t(H, 1/2) \leq t(H, 1/2 + U)$ for every U with  $t(K_2, U) = 0$ ,  $||U||_{\Box} \leq \varepsilon_0$  and  $||U||_{\infty} \leq 1/2$ . In other words, any large graph close to a random graph has at least the same density of H as a random graph.

#### 1.1 Locally common graphs

We study the local version of the notion of common graphs, which has recently been introduced by Csóka, Hubai and Lovász [6]. As in the case of Sidorenko's Conjecture, several notions of locally common graphs can be considered. The one that we study here is the following notion, which is referred to as weakly locally common in [6] and which we simply refer to as to locally common for brevity throughout the paper: a graph H is *locally common* if for every kernel U, there exists  $\varepsilon_0 > 0$  such that

$$2t(H, 1/2) \le t(H, 1/2 + \varepsilon U) + t(H, 1/2 - \varepsilon U)$$
 for every  $\varepsilon \in (0, \varepsilon_0)$ .

Csóka et al. [6] showed that every graph containing  $K_4$  is locally common in this sense. In the sense analogous to that considered by Fox and Wei [11], the result of Franek and Rödl [13] yields that  $K_4$  is not locally common and Csóka et al. [6] established that in fact any graph containing  $K_4$  is not locally common in this stronger sense.

We provide a strong partial characterization of locally common graphs, which also suggests that the characterization of common graphs is likely to be very complex. To be more precise, for every graph H and kernel U, we analyze the function  $t(H, 1/2 + \varepsilon U) + t(H, 1/2 - \varepsilon U)$ , which is a polynomial of  $\varepsilon$ , and give a complete characterization of its possible coefficients up to the term of  $\varepsilon^{12}$  (inclusively). This characterization is presented in Theorems 18, 23 and 33. In particular, we split graphs H into three classes:

• graphs of Class I are locally common,

- graphs of Class II are not locally common, and
- graphs of Class III admit a kernel U such that the coefficients of the initial twelve terms in  $t(H, 1/2 + \varepsilon U) + t(H, 1/2 \varepsilon U)$  are zero and there is no kernel U that witnesses that H is not locally common based on one of the initial twelve terms.

In other words, if H is of Class III, then it is not possible to decide whether H is locally common or not solely by analyzing the initial twelve terms in the expression  $t(H, 1/2 + \varepsilon U) + t(H, 1/2 - \varepsilon U)$ . To establish the classification, in Section 4, we develop techniques for constructing kernels U with strong control of the change of the number odd cycles passing through given vertices. We believe that these techniques will be useful to the further study of locally common graphs and common graphs in general.

While the actual characterization given in Theorems 18, 23 and 33 is complex, which is caused by the involved nature of the problem, we state some of the corollaries here. Let  $C_k \oplus C_\ell$  be the graph obtained by identifying one vertex of  $C_k$  and one vertex of  $C_\ell$ . The following sufficient conditions on a graph H to be locally common are implied by our characterization:

- H contains  $C_4$  or  $C_6$ .
- H contains  $C_8$  and  $C_3 \oplus C_3$ .
- *H* contains  $C_8$  and two edge-disjoint  $C_3$ 's but it does not contain  $C_3 \oplus C_5$ .

We remark that the first condition was already established by Csóka et al. [6, Theorem 4.1] who proved the following: if H is a graph with even girth g that does not contain two cycles of different odd lengths  $\ell_1$  and  $\ell_2$  sharing at most one vertex such that  $\ell_1 + \ell_2 \leq g$  and also does not contain two cycles of the same odd length  $\ell$  sharing at most one vertex such that  $2\ell < g$ , then H is locally common. On the negative side, Csóka et al. [6] showed that the graph  $C_3 \oplus C_5$  is not locally common. More generally, we show that the following are sufficient conditions on a graph H to be not locally common:

- *H* contains  $C_3 \oplus C_5$  but does not contain  $C_4$ ,  $C_6$  or  $C_3 \oplus C_3$ .
- *H* contains vertex disjoint  $C_3$  and  $C_5$  but it does not contain  $C_4$ ,  $C_6$  or two edge-disjoint  $C_3$ 's.

The examples above may suggest that whether the graph H is locally common or not is determined by the presence or the absence of particular subgraphs. While this is indeed the case for subgraphs with at most eight edges, the situation already becomes more involved when 10-edge subgraphs are considered. For example, suppose that a graph H does not contain  $C_4$ ,  $C_6$ ,  $C_8$ ,  $C_3 \oplus C_3$ ,  $C_3 \oplus C_5$ ,  $C_3 \oplus C_7$ , or  $C_3 \oplus P_2 \oplus C_3$  (the last graph is depicted in Figure 4), H does contain two edge-disjoint  $C_3$ 's and  $C_{10}$ , and let  $s_{33}$ ,  $s_{35}$  and  $s_{55}$  be the numbers of subgraphs of H isomorphic to the graphs  $C_3 \oplus P_4 \oplus C_3$ ,  $C_3 \oplus P_2 \oplus C_5$  and  $C_5 \oplus C_5$  (see Figure 6), respectively. Theorem 23 yields that H is locally common if and only if  $4s_{33}s_{55} \ge (s_{35})^2$ .

This paper is structured as follows. In Section 2, we introduce the notation and basic terminology from the theory of graph limits, and in Section 3, we prove auxiliary number theory results required to develop our tools presented in Section 4. In Sections 5, 6 and 7 we provide classifications of locally common graphs with respect to subgraphs with 8, 10 and 12 edges, respectively, and we describe which graphs can be concluded to be locally common (Class I), which to be not locally common (Class II), and which belong to neither of the two classes (Class III). We finish with presenting two open questions concerning locally common graphs suggested by our work in Section 8.

## 2 Preliminaries

In this section, we fix notation used throughout the paper. We start with some basic notation and introduce more specialized notation in subsections. The set of the first n positive integers is denoted by [n]. All graphs considered here are finite and simple. If G is a graph, then V(G) and E(G) is the vertex set and the edge set of G. The order of G, i.e., its number of vertices, is denoted by |G|, and its size, i.e., its number of edges, by ||G||. The complete graph of order n is denoted by  $K_n$ , the *n*-vertex cycle by  $C_n$  and the *n*-edge path by  $P_n$ . If G and H are two graphs, then  $G \cup H$  is the graph obtained as a disjoint union of G and H. If G and H are two vertex transitive graphs, then  $G \oplus H$  is the graph obtained from  $G \cup H$  by identifying one vertex of G with one vertex of H, and  $G \oplus P_n \oplus H$  is the graph obtained from  $G \cup P_n \cup H$  by identifying one vertex of G with one end-vertex of the path  $P_n$  and one vertex of H with the other end-vertex of  $P_n$ . We will also use the notation  $G \oplus H$  when one or both G and H are not vertex transitive if the vertex of G and the vertex of H to be identified are clear from the context. A homomorphism from a graph H to a graph G is a function  $f: V(H) \to V(G)$  such that  $f(u)f(v) \in E(G)$  for every edge  $uv \in E(H)$ , and the homomorphism density of H in G, which is denoted by t(H,G), is the probability that a random function from V(H) to V(G) is a homomorphism, i.e., it is the number of homomorphisms from H to G divided by  $|G|^{|H|}$ .

#### 2.1 Decks

In this subsection, we introduce notation related to decks of graphs, which play a crucial role in determining whether a graph is locally common or not. An  $\ell$ -deck is any multiset of  $\ell$ -edge graphs, and the  $\ell$ -deck of a graph G, which is denoted by  $G[\ell]$ , is the multiset of all  $\ell$ -edge subgraphs of G. If  $\mathcal{D}$  is an  $\ell$ -deck and H is

an  $\ell$ -edge graph, we write  $s_{\mathcal{D}}(H)$  for the number of copies of H that  $\mathcal{D}$  contains. More generally, we can define  $s_{\mathcal{D}}(H)$  for a graph H with less than  $\ell$  edges as the number of ||H||-edge subgraphs of the graphs in  $\mathcal{D}$  that are isomorphic to H. We next define an  $\ell'$ -deck  $\mathcal{D}'$  of an  $\ell$ -deck  $\mathcal{D}$  for  $\ell' \leq \ell$ : it is simply a union of all  $\ell'$ -decks of graphs contained in  $\mathcal{D}$  (with their multiplicities). Note that  $s_{\mathcal{D}'}(H) = s_{\mathcal{D}}(H)$  for every  $\ell'$ -edge graph H. Observe that if  $\mathcal{D}'$  is the  $\ell'$ -deck of the  $\ell$ -deck  $G[\ell]$  of a graph G on m edges, then

$$s_{\mathcal{D}'}(H) = \binom{m-\ell'}{\ell-\ell'} s_{G[\ell']}(H)$$

for every  $\ell'$ -edge graph H, i.e., the multiplicities of graphs in  $G[\ell']$  and the  $\ell'$ -deck of  $G[\ell]$  differ by the multiplicative constant independent of H. We will later recall that the  $\ell$ -th coefficient in the polynomial  $t(G, 1/2 + \varepsilon U)$  is a linear combination of  $s_{G[\ell]}(H)$  for  $\ell$ -edge graphs H, i.e., its sign is the same regardless of whether we consider it directly with the  $\ell$ -deck of G or with the  $\ell$ -deck of another deck of G.

#### 2.2 Graphons and kernels

In this part of Section 2, we introduce basic terminology from the theory of graph limits. A graphon is a measurable function  $W : [0, 1]^2 \rightarrow [0, 1]$  that is symmetric, i.e., W(x, y) = W(y, x) for all  $(x, y) \in [0, 1]^2$ . Intuitively (and quite imprecisely), a graphon can be thought of as a continuous variant of the adjacency matrix of a graph. The graphon that is equal to  $p \in [0, 1]$  everywhere is called the *p*-constant graphon; when there will be no confusion, we will just use *p* to denote such a graphon. The notion of homomorphism density extends to graphons by setting

$$t(H,W) := \int_{[0,1]^{V(H)}} \prod_{uv \in E(H)} W(x_u, x_v) \, \mathrm{d}x_{V(H)}$$
(1)

for a graph H and graphon W, and we define the *density* of a graphon W to be  $t(K_2, W)$ .

The quantity t(H, W) has a natural interpretation in terms of sampling a random graph according to W: a W-random graph of order  $n \in \mathbb{N}$ , which is denoted by  $G_{n,W}$ , is obtained by sampling n independent uniform random points  $x_1, \ldots, x_n$  from the interval [0, 1] and joining the *i*-th and *j*-th vertices of G by an edge with probability  $W(x_i, x_j)$ . It can be shown that the following holds for every graph H with probability one:

$$\lim_{n \to \infty} t(H, G_{n,W}) = t(H, W).$$

A sequence  $(G_i)_{i \in \mathbb{N}}$  of graphs is *convergent* if the sequence  $(t(H, G_i))_{i \in \mathbb{N}}$  converges for every graph H. A simple diagonalization argument implies that every

sequence of graphs has a convergent subsequence. We say that a graphon W is a *limit* of a convergent sequence  $(G_i)_{i \in \mathbb{N}}$  of graphs if

$$\lim_{i \to \infty} t(H, G_i) = t(H, W)$$

for every graph H. One of the crucial results in graph limits, due to Lovász and Szegedy [23], is that every convergent sequence of graphs has a limit. Hence, a graph H is *Sidorenko* if and only if  $t(H, W) \ge t(K_2, W)^{\|H\|}$  for every graphon W, and H is *common* if and only if  $t(H, W) + t(H, 1 - W) \ge 2^{1-\|H\|}$  for every graphon W.

A perturbation of a graphon can be described by a kernel. Formally, a *kernel* is a bounded measurable symmetric function  $U : [0, 1]^2 \to \mathbb{R}$ , and we define the homomorphism density of H in U as in (1), i.e.,

$$t(H,U) := \int_{[0,1]^{V(H)}} \prod_{uv \in E(H)} U(x_u, x_v) \, \mathrm{d}x_{V(H)}.$$
 (2)

If W is a graphon and U is a kernel, then it holds that

$$t(H, p + \varepsilon U) = p^{\parallel H \parallel} + \sum_{k \in [\parallel H \parallel]} p^{\parallel H \parallel -k} \varepsilon^k \sum_{H' \in H[k]} t(H', U)$$
(3)

for every  $p \in (0, 1)$ , see [21, 28] and also [22, proof of Proposition 16.27]. In particular, the k-term in (3) depends on the k-deck of H and the kernel U only, which we discuss in more detail in Subsection 2.3.

The next proposition is implied by [22, Equation (7.22)]. In particular, if U is a kernel, then  $t(C_k, U) = 0$  if and only if U is zero.

**Proposition 1.** It holds that  $t(C_k, U) > 0$  for any even cycle  $C_k$  and any nonzero kernel U.

We will need an extension of homomorphic densities to rooted graphs. If H is a graph with a distinguished vertex w (the root), then the homomorphic density of H in U is the function  $t_{U}^{H}: [0, 1] \to \mathbb{R}$  defined as

$$t_{U}^{H}(z) = \int_{[0,1]^{V(H)\setminus\{w\}}} \prod_{uw\in E(H)} U(x_{u}, z) \prod_{\substack{uv\in E(H)\\u,v\neq w}} U(x_{u}, x_{v}) \, \mathrm{d}x_{V(H)\setminus\{w\}};$$

we will omit displaying the choice of w in the notation as it will always be clear from the context. In particular, if H is vertex transitive, the choice of the vertex w is irrelevant, and we can write  $t_U^H$  without any danger of confusion. If H is vertex transitive, then  $H \oplus P_n$  is the rooted graph obtained by identifying a vertex of H with one end vertex of the path  $P_n$  and choosing the other end of the path to be the root. A kernel U can be viewed as an operator, i.e., if  $f : [0,1] \to \mathbb{R}$  is a measurable function, then Uf is the function defined as

$$(Uf)(z) = \int_{[0,1]} U(z,x)f(x) \,\mathrm{d}x$$

Observe that  $t_U^{H\oplus P_n} = U^n t_U^H$ , in particular,  $t^{H\oplus P_1} = U t_U^H$ . The following closely holds for some much U.

The following clearly holds for every graph H:

$$t(H, U) = \int_{[0,1]} t_U^H(x) \,\mathrm{d}x;$$

the choice of the root for the definition of  $t_U^H(x)$  is irrelevant for the above identity to hold. In addition, if  $H_1$  and  $H_2$  are two rooted graphs and  $H_1 \oplus H_2$  is obtained by identifying their roots, it holds that

$$t(H_1 \oplus H_2, U) = \int_{[0,1]} t_U^{H_1}(x) t_U^{H_2}(x) \,\mathrm{d}x.$$
(4)

In particular, if H is a vertex-transitive graph, then  $t(H \oplus H, U) \ge 0$  and the equality holds if and only if  $t_U^H(x) = 0$  for almost every  $x \in [0, 1]$ . We say that a kernel U is *balanced* if  $t_U^{P_1}(x) = 0$  for almost every  $x \in [0, 1]$ , i.e., the perturbation determined by U does not change the degrees of the vertices of a graphon. The identity (4) implies the following.

**Proposition 2.** If a kernel U is balanced and a graph H has a vertex of degree one, then t(H, U) = 0.

We conclude this subsection with the following proposition on balanced kernels.

**Proposition 3.** Let U be a balanced kernel. It holds that

$$\int_{[0,1]} (Uf)(x) \, dx = 0$$

for every  $f \in L_2[0,1]$ .

*Proof.* Since U viewed as an operator on  $L_2[0, 1]$  is self-adjoint and compact (as all Hilbert-Schmidt integral operators are), there exists a finite or countable set I, non-zero reals  $\lambda_i$  and orthonormal functions  $f_i \in L_2[0, 1]$  such that

$$U(x,y) = \sum_{i \in I} \lambda_i f_i(x) f_i(y)$$

Let  $h \in L_2[0,1]$  be the function equal to one everywhere on [0,1]. Since U is balanced, it holds that Uh is equal to zero almost everywhere. Hence, the following holds for every  $i \in I$ :

$$0 = \int_{[0,1]} f_i(x)(Uh)(x) \, \mathrm{d}x = \lambda_i \int_{[0,1]} f_i(x)h(x) \, \mathrm{d}x$$

i.e.,  $f_i$  and h are orthogonal. It follows that Uf is orthogonal to h for every  $f \in L_2[0, 1]$  and the proposition follows.

#### 2.3 Perturbations

We next analyze the dependence of the density of G in  $1/2 + \varepsilon U$  on a kernel Uand  $\varepsilon$ . First observe that if U is a kernel, then it holds by (3) that

$$t(G, 1/2 + \varepsilon U) + t(G, 1/2 - \varepsilon U)$$
  
= 2<sup>-||G||+1</sup> +  $\sum_{\ell \in [||G||]} 2^{-||G||+\ell} \left( \sum_{H \in G[\ell]} t(H, U) + t(H, -U) \right) \varepsilon^{\ell}.$  (5)

If the number of edges of a graph H is odd, then t(H,U) = -t(H,-U). In particular, the coefficients at odd powers of  $\varepsilon$  in (5) are equal to zero. Hence, we set

$$c^G_{U,\ell} = \sum_{H \in G[\ell]} t(H,U)$$

for a kernel U, a graph G and an (even) integer  $\ell$ , and observe that

$$t(G, 1/2 + \varepsilon U) + t(G, 1/2 - \varepsilon U) = 2^{-\|G\|+1} \left( 1 + \sum_{\substack{\ell \in [\|G\|] \\ \ell \text{ even}}} 2^{\ell} c_{U,\ell}^G \varepsilon^{\ell} \right).$$
(6)

We emphasize that the coefficient  $c_{U,\ell}^G$  depends on a kernel U and the  $\ell$ -deck  $\mathcal{D}$  of G only. Hence, we define

$$c_{U,\ell'}^{\mathcal{D}} = \sum_{H \in \mathcal{D}} \sum_{H' \in H[\ell']} t(H', U)$$

for an  $\ell$ -deck  $\mathcal{D}$  and an even positive integer  $\ell' \leq \ell$ . Observe that if  $\mathcal{D}$  is the  $\ell$ -deck of a graph G, then the coefficients  $c_{U,\ell'}^G$  and  $c_{U,\ell'}^{\mathcal{D}}$  have the same sign for all  $\ell' = 2, 4, \ldots, \ell$ . Also observe that the value of  $c_{U,\ell}^{\mathcal{D}}$  is determined by  $s_{\mathcal{D}}(H)$  for all  $\ell$ -edge graphs H, i.e., it holds that

$$c_{U,\ell'}^{\mathcal{D}} = \sum_{H, \|H\| = \ell'} s_{\mathcal{D}}(H) t(H, U)$$
(7)

for every  $\ell$ -deck  $\mathcal{D}$  and every even positive integer  $\ell' \leq \ell$ .

The above leads to the following classification of  $\ell$ -decks, which we have already mentioned in Section 1. Let  $\ell$  be an even integer. An  $\ell$ -deck  $\mathcal{D}$  is of

- **Class I** if for every non-zero kernel U, not all of the coefficients  $c_{U,2}^{\mathcal{D}}, \ldots, c_{U,\ell}^{\mathcal{D}}$  are zero and the first non-zero coefficient among  $c_{U,2}^{\mathcal{D}}, \ldots, c_{U,\ell}^{\mathcal{D}}$  is positive;
- **Class II** if there exists a (non-zero) kernel U such that not all of the coefficients  $c_{U,2}^{\mathcal{D}}, \ldots, c_{U,\ell}^{\mathcal{D}}$  are zero and the first non-zero coefficient among  $c_{U,2}^{\mathcal{D}}, \ldots, c_{U,\ell}^{\mathcal{D}}$  is negative;

**Class III** if there exists a non-zero kernel U such that all the coefficients  $c_{U,2}^{\mathcal{D}}, \ldots, c_{U,\ell}^{\mathcal{D}}$  are zero, and for every (non-zero) kernel U, it holds that either all the coefficients  $c_{U,2}^{\mathcal{D}}, \ldots, c_{U,\ell}^{\mathcal{D}}$  are zero or the first non-zero coefficient among  $c_{U,2}^{\mathcal{D}}, \ldots, c_{U,\ell}^{\mathcal{D}}$  is positive.

In particular, the following holds for every graph G with m edges and every  $\ell \leq m$ : every graph G such that its  $\ell$ -deck is of Class II is not locally common, and every graph G such that its  $\ell$ -deck is of Class III and  $\ell \in \{m-1,m\}$  is locally common; in particular our results give a full characterization of graphs with up to 13 edges into Class I or Class II. If the  $\ell$ -deck is of Class III and  $\ell < m-1$ , then it cannot be decided based on the  $\ell$ -deck whether G is locally common or not; in particular if a 12-deck is of Class III and m > 13, then G is of Class III in the sense defined in Section 1. We remark that in our analysis above we have used that the multiplicities of  $\ell'$ -edge graphs H in the  $\ell'$ -deck of G and in the  $\ell'$ -deck of  $G[\ell]$  differ by the same multiplicative constant independent of H. Also observe that if the  $\ell'$ -deck of  $\mathfrak{D}$  is of Class II, then  $\mathfrak{D}$  is also of Class II.

**Proposition 4.** It holds that  $c_{U,2}^{\mathcal{D}} \geq 0$  for every  $\ell$ -deck  $\mathcal{D}$  and every kernel U. Moreover, if  $s_{\mathcal{D}}(P_2) > 0$ , then  $c_{U,2}^{\mathcal{D}} = 0$  if and only if the kernel U is balanced.

*Proof.* The definition of the coefficient  $c_{U,2}^{\mathcal{D}}$  yields that

$$c_{U,2}^{\mathcal{D}} = s_{\mathcal{D}}(P_1 \cup P_1) \left( \int_{[0,1]} t_U^{P_1}(x) \, \mathrm{d}x \right)^2 + s_{\mathcal{D}}(P_2) \int_{[0,1]} t_U^{P_1}(x)^2 \, \mathrm{d}x.$$

It follows that the coefficient  $c_{U,2}^{\mathcal{D}}$  is always non-negative.

It remains to prove the second part of the proposition. So, suppose that  $s_{\mathcal{D}}(P_2) > 0$ . If the kernel U is balanced, then both integrals above are zero. On the other hand, if  $c_{U,2}^{\mathcal{D}} = 0$ , then the second integral above must be zero, which is possible only if  $t_U^{P_1}(x) = 0$  for almost every  $x \in [0, 1]$ . We conclude that  $c_{U,2}^{\mathcal{D}} = 0$  if and only if the kernel U is balanced.

Proposition 2 and the characterization results obtained in Theorems 18, 23 and 33 lead us to the definition of a principal graph:

**Definition 5.** A graph H is principal if either H is an even cycle or H has minimum degree two and every block of H is an odd cycle or an edge.

Principal graphs with four, six, eight, ten and twelve edges are listed in Figures 2, 3, 4, 6 and 8, respectively. For a deck  $\mathcal{D}$  with  $s_{\mathcal{D}}(P_2) > 0$ , let g be the length of the shortest even cycle that a graph in  $\mathcal{D}$  contains, and let  $\mathcal{D}'$  be the g'-deck  $\mathcal{D}$ , where  $g' \leq g$  is even. Then the frequencies of principal graphs of  $\mathcal{D}'$ determine its class. Indeed, consider a non-zero kernel U. Since  $s_{\mathcal{D}}(P_2) > 0$ , it holds that  $c_{\mathcal{D},2}^U > 0$  by Proposition 4 unless U is balanced. If U is balanced, then t(H, U) = 0 for every graph H with vertex of degree one by Proposition 2, i.e., the non-zero contribution to the sum (7) defining  $c_{\mathcal{D},4}^U, \ldots, c_{\mathcal{D},g'}^U$  comes only from subgraphs with minimum degree two. Since all graphs of  $\mathcal{D}'$  that have minimum degree two are principal, the class of  $\mathcal{D}'$  is determined by its principal graphs.

## **3** Sums of powers

In order to present our main tool (Lemma 9) in Section 4, we need to state some number-theoretic results. The main result of this section is Lemma 8, the proof of which follows from Lemmas 6 and 7.

**Lemma 6.** For every pair of odd integers  $k_0$  and k such that  $3 \le k_0 \le k$ , there exists an integer m and reals  $\omega_1, \ldots, \omega_m$  such that

$$\sum_{i\in[m]}\omega_i^\ell=0$$

for every odd integer  $\ell \neq k_0, 3 \leq \ell \leq k$ , and

$$\sum_{i\in[m]}\omega_i^{k_0}>0$$

Proof. Consider the square matrix A of order (k-1)/2 such that  $A_{ij} = j^{2i+1}$  for  $i, j \in [(k-1)/2]$  and the square matrix B of the same order such that  $B_{ij} = j^{2i-2}$  for  $i, j \in [(k-1)/2]$ . The matrix B is a Vandermonde matrix and so it is full rank. Since the matrix A can be obtained from the matrix B by multiplying its j-th column by  $j^3$ , the matrix A is also full rank. It follows that there exists a rational vector  $z \in \mathbb{R}^{(k-1)/2}$  such that the vector Az is the  $(k_0 - 1)/2$ -th unit vector, i.e.,  $(Az)_{(k_0-1)/2} = 1$  and  $(Az)_i = 0$  for  $i \neq (k_0 - 1)/2$ . Hence, there exists an integer vector  $z' \in \mathbb{Z}^{(k-1)/2}$  such that  $(Az')_{(k_0-1)/2} > 0$  and  $(Az')_i = 0$  for  $i \neq (k_0 - 1)/2$ . We set  $m = |z'_1| + \cdots + |z'_{(k-1)/2}|$  and consider the multiset of m reals that contains j with multiplicity  $z'_j$  if  $z'_j \geq 0$  and -j with multiplicity  $-z'_j$  if  $z'_j < 0$  for each  $j \in [(k-1)/2]$ . Setting  $\omega_1, \ldots, \omega_m$  to be the elements of this multiset yields the statement of the lemma.

**Lemma 7.** For every pair of odd integers  $k_0$  and k such that  $3 \le k_0 \le k$  and every positive real  $\delta > 0$ , there exists an integer m and reals  $\omega_1, \ldots, \omega_m$  such that

$$\sum_{i\in[m]}\omega_i^\ell=0$$

for every odd integer  $\ell \neq k_0, 3 \leq \ell \leq k$ , and

$$\sum_{i \in [m]} \omega_i^{k_0} = 1 \qquad and \qquad \sum_{i \in [m]} \omega_i^{k+1} \le \delta.$$

*Proof.* Apply Lemma 6 with  $k_0$  and k to obtain  $\omega_1, \ldots, \omega_{m'}$  such that

$$\sum_{i\in[m']}\omega_i^\ell=0$$

for every odd integer  $\ell \neq k_0, 3 \leq \ell \leq k$ , and

$$\sum_{i\in[m']}\omega_i^{k_0}=\Omega>0.$$

For an integer  $n \in N$ , consider a multiset A of  $m = 2^{nk_0}m'$  numbers that contains each of the numbers  $\omega_i \Omega^{-1/k_0} 2^{-n}$ ,  $i \in [m']$ , with multiplicity  $2^{nk_0}$ . Clearly, the sum of the  $k_0$ -th powers of the numbers in A is equal to one and the sum of the  $\ell$ -th powers for odd  $\ell \neq k_0$ ,  $3 \leq \ell \leq k$ , is equal to zero. The sum of the (k+1)-th powers can be bounded as follows:

$$\sum_{\omega \in A} \omega^{k+1} = \frac{2^{nk_0}}{\Omega^{(k+1)/k_0} 2^{n(k+1)}} \sum_{i \in [m']} \omega_i^{k+1} \le \frac{1}{\Omega^{(k+1)/k_0} 2^n} \sum_{i \in [m']} \omega_i^{k+1}.$$

Hence, there exists  $n \in \mathbb{N}$  such that the sum is at most  $\delta$  and the lemma holds for the multiset A for such a choice of n.

**Lemma 8.** For every odd integer  $k \ge 3$ , all reals  $s_3, s_5, \ldots, s_k$  and every positive real  $\delta > 0$ , there exists an integer m and reals  $\omega_1, \ldots, \omega_m$  such that

$$\sum_{i\in[m]}\omega_i^\ell=s_\ell$$

for every odd integer  $\ell$ ,  $3 \leq \ell \leq k$ , and

$$\sum_{i\in[m]}\omega_i^{k+1}\leq \delta$$

*Proof.* For  $\ell = 3, 5, \ldots, k$ , if  $s_{\ell} \neq 0$ , we apply Lemma 7 with  $\frac{\delta}{ks_{\ell}^{(k+1)/\ell}}$  to get  $m_{\ell}$  and  $\omega_{\ell,1}, \ldots, \omega_{\ell,m_{\ell}}$  such that

$$\sum_{i\in[m_\ell]}\omega_{\ell,i}^j=0$$

for every odd integer  $j \neq \ell$ ,  $3 \leq j \leq k$ , and

$$\sum_{i \in [m_{\ell}]} \omega_{\ell,i}^{\ell} = 1 \quad \text{and} \quad \sum_{i \in [m_{\ell}]} \omega_{\ell,i}^{k+1} \le \frac{\delta}{k s_{\ell}^{(k+1)/\ell}}$$

If  $s_{\ell} = 0$ , we set  $m_{\ell} = 0$ .

We set  $m = m_3 + \cdots + m_k$  and consider the multiset of m reals  $\omega_1, \ldots, \omega_m$  that consists of  $\omega_{\ell,i} s_{\ell}^{1/\ell}$  for  $\ell = 3, \ldots, k$  and  $i \in [m_{\ell}]$ . Observe that for every  $\ell = 3, \ldots, k$ , it holds that

$$\sum_{i \in [m]} \omega_i^{\ell} = \sum_{i \in [m_{\ell}]} \left( \omega_{\ell,i} s_{\ell}^{1/\ell} \right)^{\ell} = s_{\ell} \sum_{i \in [m_{\ell}]} \omega_{\ell,i}^{\ell} = s_{\ell}.$$

In addition, it holds that

$$\sum_{i \in [m]} \omega_i^{k+1} = \sum_{\ell=3,5,\dots,k} s_{\ell}^{(k+1)/\ell} \sum_{i \in [m_{\ell}]} \omega_{\ell,i}^{k+1} \le \sum_{\ell=3,5,\dots,k} \frac{\delta}{k} \le \delta.$$

The lemma follows.

## 4 Constructing balanced perturbations

In this section we construct a special family of perturbations and determine the corresponding densities of key principal graphs. The construction is presented in the following lemma.

**Lemma 9.** Let  $k \geq 3$  be an odd integer, let  $\delta \in (0, 1)$  be a positive real, let m be a non-negative integer, and let  $\sigma_i$ ,  $\gamma_\ell$  and  $\tau_{i,\ell}$ ,  $i \in [m]$  and  $\ell = 3, 5, \ldots, k$ , be any reals such that  $\sigma_1^{k+1} + \cdots + \sigma_m^{k+1} \leq \delta/2$ . There exists a non-zero balanced kernel U, orthonormal functions  $f_1, \ldots, f_m \in L_2[0, 1]$  and  $g_3, \ldots, g_k \in L_2[0, 1]$  and a real  $\gamma > 0$  such that  $f_i$ ,  $i \in [m]$ , is an eigenfunction of U associated with  $\sigma_i$ , i.e.,  $Uf_i = \sigma_i f_i$ , and

$$\int_{[0,1]} f_i(x) \, dx = 0$$

for every  $i \in [m]$ , the functions  $g_{\ell}$ ,  $\ell = 3, 5, \ldots, k$ , belong to the kernel of U, and

$$\int_{[0,1]} g_\ell(x) \, dx = \gamma$$

for every  $\ell = 3, 5, \ldots, k$ , and

$$t_U^{C_\ell}(x) = \frac{\gamma_\ell}{\gamma} g_\ell(x) + \sum_{i \in [m]} \tau_{i,\ell} f_i(x)$$

for every odd integer  $\ell$ ,  $3 \leq \ell \leq k$ , and  $t(C_{k+1}, U) \leq \delta$ .

*Proof.* We can assume that at least one of the values  $\sigma_i$ ,  $\gamma_\ell$  and  $\tau_{i,\ell}$  is non-zero; if this is not the case, we will prove the lemma for k + 2 and the additional values set as  $\gamma_{k+2} = \delta/2$  and  $\tau_{i,k+2} = 0$ ,  $i \in [m]$ . Let  $\alpha_i = \frac{i}{m+(k-1)/2}$  for i = 0.



Figure 1: The signs of the functions from the proof of Lemma 9 when k = 5 and m = 1.

 $0, \ldots, m + (k-1)/2$ ; note that the intervals  $[\alpha_{i-1}, \alpha_i), i \in [m + (k-1)/2]$ , partition the interval [0, 1). For  $\ell = 3, 5, \ldots, k$ , we define

$$g_{\ell}(x) = \begin{cases} (m + (k-1)/2)^{1/2} & \text{if } \alpha_{m+(\ell-3)/2} \le x < \alpha_{m+(\ell-1)/2}, \\ 0 & \text{otherwise.} \end{cases}$$

For  $i = 1, \ldots, m$ , we define

$$f_i(x) = \begin{cases} (m + (k-1)/2)^{1/2} & \text{if } \alpha_{i-1} \le x < \frac{\alpha_{i-1} + \alpha_i}{2} \\ -(m + (k-1)/2)^{1/2} & \text{if } \frac{\alpha_{i-1} + \alpha_i}{2} \le x < \alpha_i, \\ 0 & \text{otherwise.} \end{cases}$$

Note that the functions  $g_3, \ldots, g_k$  and  $f_1, \ldots, f_m$  form an orthonormal system of functions such that

$$\int_{[0,1]} g_{\ell}(x) \, \mathrm{d}x = \gamma \text{ and } \int_{[0,1]} f_i(x) \, \mathrm{d}x = 0$$

for every  $\ell = 3, 5, \dots, k$  and for every  $i \in [m]$ , where  $\gamma = (m + (k-1)/2)^{-1/2}$ .

We next construct the kernel U. We start with defining functions  $h_j : [0, 1] \to \mathbb{R}, j \in \mathbb{N}$ , as

$$h_j(x) = \begin{cases} +(2m+k-1)^{1/2} & \text{if } \lfloor 2^j x \rfloor \text{ is even, and} \\ -(2m+k-1)^{1/2} & \text{otherwise.} \end{cases}$$

For  $i = 1, \ldots, m + (k-1)/2$  and  $j \in \mathbb{N}$ , we define a function  $f_{i,j}^+ : [0,1] \to \mathbb{R}$  as

$$f_{i,j}^{+}(x) = \begin{cases} h_j \left( (2m+k-1)(x-\alpha_{i-1}) \right) & \text{if } \alpha_{i-1} \le x < \frac{\alpha_{i-1}+\alpha_i}{2}, \text{ and} \\ 0 & \text{otherwise}, \end{cases}$$

and a function  $f_{i,j}^-: [0,1] \to \mathbb{R}$  as

$$f_{i,j}^{-}(x) = \begin{cases} h_j \left( (2m+k-1) \left( x - \frac{\alpha_{i-1} + \alpha_i}{2} \right) \right) & \text{if } \frac{\alpha_{i-1} + \alpha_i}{2} \le x < \alpha_i, \text{ and} \\ 0 & \text{otherwise.} \end{cases}$$

See Figure 1 for an illustration.

For  $i = 1, \ldots, m$ , we apply Lemma 8 with  $k, s_j = \frac{\tau_{i,j}}{2(m+(k-1)/2)^{1/2}} - \frac{\sigma_j^i}{2}$  for  $j = 3, \ldots, k$ , and  $\frac{\delta}{2(2m+k-1)}$ , to get  $\omega_{i,1}^+, \ldots, \omega_{i,m_i^+}^+$  such that the sum of their *j*-th powers is equal to  $s_j = \frac{\tau_{i,j}}{2(m+(k-1)/2)^{1/2}} - \frac{\sigma_j^j}{2}$  and the sum of their (k+1)-th powers is at most  $\frac{\delta}{2(2m+k-1)}$ . We next apply Lemma 8 with  $k, s_j = \frac{-\tau_{i,j}}{2(m+(k-1)/2)^{1/2}} - \frac{\sigma_j^j}{2}$  for  $j = 3, \ldots, k$ , and  $\frac{\delta}{2(2m+k-1)}$ , to get  $\omega_{i,1}^-, \ldots, \omega_{i,m_i^-}^-$  such that the sum of their (k+1)-th powers is equal to  $s_j = \frac{-\tau_{i,j}}{2(m+(k-1)/2)^{1/2}} - \frac{\sigma_j^j}{2}$  and the sum of their (k+1)-th powers is at most  $\frac{\delta}{2(2m+k-1)}$ . For  $i = 1, \ldots, (k-1)/2$ , we apply Lemma 8 with  $k, s_{2i+1} = \frac{\gamma_{2i+1}}{2\gamma(m+(k-1)/2)^{1/2}}$  and  $s_j = 0$  for  $j \neq 2i+1$ , and  $\frac{\delta}{2(2m+k-1)}$ , to get  $\omega_{m+i,1}, \ldots, \omega_{m+i,m_{m+i}}$  such that the sum of their (k+1)-th powers is at most  $\frac{\delta}{2\gamma(m+(k-1)/2)^{1/2}}$  and  $s_j = 0$  for  $j \neq 2i+1$ , and the sum of their (k+1)-th powers is at most  $\frac{\delta}{2\gamma(m+(k-1)/2)^{1/2}}$  and  $s_j = 0$  for  $j \neq 2i+1$ , and the sum of their (k+1)-th powers is at most  $\frac{\delta}{2\gamma(m+(k-1)/2)^{1/2}}$  if j = 2i+1, and the sum of their (k+1)-th powers is at most  $\frac{\delta}{2\gamma(m+(k-1)/2)^{1/2}}$  and  $s_j = 0$  for  $j \neq 2i+1$ , and the sum of their (k+1)-th powers is at most  $\frac{\delta}{2\gamma(m+(k-1)/2)^{1/2}}$  and  $s_j = 0$  for  $j \neq 2i+1$ , and the sum of their (k+1)-th powers is at most  $\frac{\delta}{2\gamma(m+(k-1)/2)^{1/2}}$  and  $s_j = 0$  for  $j \neq 2i+1$ , and the sum of their (k+1)-th powers is at most  $\frac{\delta}{2(2m+k-1)}$ .

$$\begin{aligned} U(x,y) &= \sum_{i \in [m]} \sigma_i f_i(x) f_i(y) + \\ &+ \sum_{i \in [m]} \sum_{j \in [m_i^+]} \omega_{i,j}^+ f_{i,j}^+(x) f_{i,j}^+(y) + \sum_{i \in [m]} \sum_{j \in [m_i^-]} \omega_{i,j}^- f_{i,j}^-(x) f_{i,j}^-(y) \\ &+ \sum_{i \in [(k-1)/2]} \sum_{j \in [m_{m+i}]} \omega_{m+i,j} (f_{m+i,j}^+(x) f_{m+i,j}^+(y) - f_{m+i,j}^-(x) f_{m+i,j}^-(y)). \end{aligned}$$

Since the integral of each of the functions  $f_i$  for  $i \in [m]$ ,  $f_{i,j}^+$  for  $i \in [m]$  and  $j \in [m_i^+]$ ,  $f_{i,j}^-$  for  $i \in [m]$  and  $j \in [m_i^-]$ , and  $f_{m+i,j}^+$  and  $f_{m+i,j}^-$  for  $i \in [(k-1)/2]$  and  $j \in [m_{m+i}]$  over [0, 1] is zero, it follows that the kernel U is balanced. Next observe that

$$t(C_{k+1}, U) = \sum_{i \in [m]} \sigma_i^{k+1} + \sum_{i \in [m]} \sum_{j \in [m_i]} (\omega_{i,j}^+)^{k+1} + \sum_{i \in [m]} \sum_{j \in [m_i^-]} (\omega_{i,j}^-)^{k+1} + 2 \sum_{i \in [(k-1)/2]} \sum_{j \in [m_{m+i}]} \omega_{m+i,j}^{k+1} \\ \leq \frac{\delta}{2} + m \cdot \frac{\delta}{2m+k-1} + \frac{k-1}{2} \cdot \frac{\delta}{2m+k-1} = \delta.$$

For  $\ell = 3, \ldots, k$ , we obtain that

$$\begin{split} t_U^{C_\ell}(x) &= \sum_{i \in [m]} \sigma_i^\ell f_i(x)^2 + \sum_{i \in [m]} \sum_{j \in [m_i]} \left(\omega_{i,j}^+\right)^\ell f_{i,j}^+(x)^2 + \sum_{i \in [m]} \sum_{j \in [m_i^-]} \left(\omega_{i,j}^-\right)^\ell f_{i,j}^-(x)^2 \\ &+ \sum_{i \in [(k-1)/2]} \sum_{j \in [m_{m+i}]} \omega_{m+i,j}^\ell \left(f_{m+i,j}^+(x)^2 + f_{m+i,j}^-(x)^2\right) \\ &= \sum_{i \in [m]} \tau_{i,\ell} f_i(x) + \frac{\gamma_\ell}{\gamma} g_\ell(x). \end{split}$$

This concludes the proof of the lemma.

The next lemma summarizes key properties of kernels obtained by applying Lemma 9.

**Lemma 10.** Let U be the kernel obtained by applying Lemma 9 with  $k, \delta, m, \gamma$ ,  $\sigma_i, \gamma_\ell$  and  $\tau_{i,\ell}$ , with  $i \in [m]$  and  $\ell = 3, 5, \ldots, k$ . It holds that

$$t(C_{\ell}, U) = \gamma_{\ell}$$
 and  $t(C_{\ell} \oplus C_{\ell}, U) = \frac{\gamma_{\ell}^2}{\gamma^2} + \sum_{i \in [m]} \tau_{i,\ell}^2$ 

for every  $\ell = 3, 5, ..., k$ . Moreover, if  $\ell$  and  $\ell'$  are odd integers between 3 and k and n is a non-negative integer such that  $\ell \neq \ell'$  or n > 0, then it holds that

$$t(C_{\ell} \oplus P_n \oplus C_{\ell'}, U) = \sum_{i \in [m]} \sigma_i^n \tau_{i,\ell} \tau_{i,\ell'};$$

we interpret  $0^0$  in the sum above as 1.

An immediate corollary of Lemmas 9 and 10 is the following.

**Lemma 11.** For every odd integer  $k \geq 3$ , there exists a non-zero balanced kernel U such that  $t_U^{C_\ell}(x) = 0$  for every odd integer  $\ell$ ,  $3 \leq \ell \leq k$ , and every  $x \in [0, 1]$ . In particular,  $t(C_\ell, U) = 0$  for every odd integer  $\ell$ ,  $3 \leq \ell \leq k$ .

A less straightforward corollary of Lemma 9 is the following.

**Lemma 12.** Let  $\mathcal{D}$  be a deck and k an even integer such that no graph in  $\mathcal{D}$  contains an even cycle of length at most k. There exists a non-zero kernel U such that  $c_{\mathcal{D},2}^U = \cdots = c_{\mathcal{D},k}^U = 0$ .

Proof. Apply Lemma 9 with k - 1,  $\delta = 1$ , m = 0 and  $\gamma_3 = \cdots = \gamma_{k-1} = 0$  to get a non-zero balanced kernel U with the properties given in the statements of Lemmas 9 and 10. Since U is balanced, it follows that  $c_{\mathcal{D},2}^U = 0$ . Since  $t_U^{\mathcal{C}_\ell}(x) = 0$  for every  $\ell = 3, \ldots, k - 1$  and almost every  $x \in [0, 1]$  and every principal graph H with at most k edges contains an end-block that is an odd cycle, it holds that t(H, U) = 0 for every principal graph H. Hence, it also holds that  $c_{\mathcal{D},4}^U = \cdots = c_{\mathcal{D},k}^U = 0$ .



Figure 2: The only principal 4-edge graph.



Figure 3: Principal 6-edge graphs.

#### 5 Decks with at most eight edges

In this section we prove Theorem 18, which determines which class a deck of size up to 8 belongs to; the characterization is visualized in Figure 5 and Table 1. We start with Lemmas 13 and 14, which deal with decks of size 4 and 6, respectively.

**Lemma 13.** A 4-deck  $\mathcal{D}$  with  $s_{\mathcal{D}}(P_2) > 0$  is of Class I if and only if  $s_{\mathcal{D}}(C_4) > 0$ ; otherwise,  $\mathcal{D}$  is of Class III.

*Proof.* Fix a 4-deck  $\mathcal{D}$  with  $s_{\mathcal{D}}(P_2) > 0$ . Assume that  $s_{\mathcal{D}}(C_4) > 0$  and consider a non-zero kernel U. If U is not balanced, then  $c_{\mathcal{D},2}^U > 0$  by Proposition 4. If Uis balanced, then  $c_{\mathcal{D},2}^U = 0$  by Proposition 4 and  $c_{\mathcal{D},4}^U = s_{\mathcal{D}}(C_4)t(C_4, U) > 0$  by Proposition 1. It follows that the deck  $\mathcal{D}$  is of Class I.

Assume that  $s_{\mathcal{D}}(C_4) = 0$  and consider any non-zero kernel U. It follows that  $c_{\mathcal{D},2}^U \geq 0$  by Proposition 4 and the equality holds only if the kernel U is balanced. If the kernel U is balanced, then t(H,U) = 0 for any 4-edge graph that is not principal and thus  $c_{\mathcal{D},4}^U = s_{\mathcal{D}}(C_4)t(C_4,U) = 0$ . Lemma 12 implies the existence of a non-zero kernel U such that  $c_{\mathcal{D},2}^U = c_{\mathcal{D},4}^U = 0$ , which implies that the deck  $\mathcal{D}$  is of Class III.

**Lemma 14.** A 6-deck  $\mathcal{D}$  with  $s_{\mathcal{D}}(P_2) > 0$  is of Class I if and only if  $s_{\mathcal{D}}(C_4) > 0$ or  $s_{\mathcal{D}}(C_6) > 0$ ; otherwise,  $\mathcal{D}$  is of Class III.

*Proof.* Fix a 6-deck  $\mathcal{D}$  with  $s_{\mathcal{D}}(P_2) > 0$ . If the 4-deck of  $\mathcal{D}$  is of Class I, then the 6-deck  $\mathcal{D}$  is also of Class I. Hence, we can assume that  $s_{\mathcal{D}}(C_4) = 0$  by Lemma 13.

We first consider the case that  $s_{\mathcal{D}}(C_6) > 0$ . Consider a non-zero kernel U. If U is not balanced, then  $c_{\mathcal{D},2}^U > 0$  by Proposition 4. If U is balanced, then  $c_{\mathcal{D},2}^U = 0$  by Proposition 4 and t(H, U) = 0 for any non-principal 4-edge or 6-edge graph



Figure 4: Principal 8-edge graphs.

*H*; the three principal 6-edge graphs are listed in Figure 3. Hence, it holds that  $c_{\mathcal{D},4}^U = s_{\mathcal{D}}(C_4)t(C_4, U) = 0$  and

$$c_{\mathcal{D},6}^{U} = s_{\mathcal{D}}(C_6)t(C_6, U) + s_{\mathcal{D}}(C_3 \oplus C_3)t(C_3 \oplus C_3, U) + s_{\mathcal{D}}(C_3 \cup C_3)t(C_3 \cup C_3, U).$$

Since  $t(C_3 \cup C_3, U) = t(C_3, U)^2 \ge 0$  and

$$t(C_3 \oplus C_3, U) = \int_{[0,1]} t_U^{C_3}(x)^2 \,\mathrm{d}x \ge 0,$$

it follows that  $c_{\mathcal{D},6}^U \geq s_{\mathcal{D}}(C_6,U)$ , which is positive by Proposition 1. We conclude that the deck  $\mathcal{D}$  is of Class I.

It remains to consider the case that  $s_{\mathcal{D}}(C_6) = 0$ . We first show that there is no kernel U such that the first non-zero coefficient among  $c_{\mathcal{D},2}^U$ ,  $c_{\mathcal{D},4}^U$  and  $c_{\mathcal{D},6}^U$  (if such a coefficient exists) is negative. Let U be a kernel. If U is not balanced, then  $c_{\mathcal{D},2}^U > 0$  by Proposition 4, and otherwise,  $c_{\mathcal{D},2}^U = 0$  and t(H,U) = 0 for any nonprincipal 4-edge or 6-edge graph H. Hence, it holds that  $c_{\mathcal{D},4}^U = s_{\mathcal{D}}(C_4)t(C_4, U) = 0$  and

$$c_{\mathcal{D},6}^U = s_{\mathcal{D}}(C_3 \oplus C_3)t(C_3 \oplus C_3, U) + s_{\mathcal{D}}(C_3 \cup C_3)t(C_3 \cup C_3, U) \ge 0.$$

The existence of a non-zero kernel U such that  $c_{\mathcal{D},2}^U = c_{\mathcal{D},4}^U = c_{\mathcal{D},6}^U = 0$  follows from Lemma 12 applied with k = 6. We conclude that the deck  $\mathcal{D}$  is of Class III.

Lemmas 15, 16, and 17 describe when a given 8-deck is of Class III, Class I, or Class II, respectively.

**Lemma 15.** An 8-deck  $\mathcal{D}$  with  $s_{\mathcal{D}}(P_2) > 0$  is of Class III if the 6-deck of  $\mathcal{D}$  is of Class III,  $s_{\mathcal{D}}(C_8) = 0$  and at least one of the following holds:

- $s_{\mathcal{D}}(C_3 \oplus C_3) > 0$ ,
- $s_{\mathcal{D}}(C_3 \oplus C_5) = 0, \ s_{\mathcal{D}}(C_3 \cup C_3) > 0, \ or$
- $s_{\mathcal{D}}(C_3 \oplus C_5) = s_{\mathcal{D}}(C_3 \cup C_5) = 0.$

Proof. Fix an 8-deck  $\mathcal{D}$  with  $s_{\mathcal{D}}(P_2) > 0$  such that its 6-deck is of Class III and that satisfies the assumption of the lemma, i.e.,  $s_{\mathcal{D}}(C_8) = 0$  and (at least) one of the three choices in the statement of the lemma holds. Note that  $s_{\mathcal{D}}(C_4) =$  $s_{\mathcal{D}}(C_6) = 0$  by Lemmas 13 and 14. Lemma 12 applied with k = 8 yields that there exists a non-zero balanced kernel U such that  $c_{\mathcal{D},2}^U = c_{\mathcal{D},4}^U = c_{\mathcal{D},6}^U = c_{\mathcal{D},8}^U = 0$ . This implies that the 8-deck  $\mathcal{D}$  is not of Class I.

To establish the statement of the lemma, we fix a kernel U and show that the first non-zero coefficient among  $c_{\mathcal{D},2}^U$ ,  $c_{\mathcal{D},4}^U$ ,  $c_{\mathcal{D},6}^U$  and  $c_{\mathcal{D},8}^U$  is positive or does not exist. If U is not balanced, then  $c_{\mathcal{D},2}^U > 0$  by Proposition 4. So, we will assume that U is balanced, which implies that t(H, U) = 0 for any graph H with at most eight edges that is not principal. In particular, it holds that  $c_{\mathcal{D},2}^U = c_{\mathcal{D},4}^U = 0$  and

$$c_{\mathcal{D},6}^U = s_{\mathcal{D}}(C_3 \oplus C_3) \int_{[0,1]} t_U^{C_3}(x)^2 \,\mathrm{d}x + s_{\mathcal{D}}(C_3 \cup C_3) t(C_3, U)^2.$$

Note that the coefficient  $c_{\mathcal{D},6}^U$  is always non-negative.

If  $s_{\mathcal{D}}(C_3 \oplus C_3) > 0$ , then  $c_{\mathcal{D},6}^U > 0$  unless  $t_U^{C_3}(x) = 0$  for almost every  $x \in [0,1]$ . However, if  $t_U^{C_3}(x) = 0$  for almost every  $x \in [0,1]$ , we obtain that  $t(C_3 \cup C_5, U) = t(C_3 \oplus C_5, U) = t(C_3 \oplus P_2 \oplus C_3) = 0$ , i.e., the densities of all principal 8-edge graphs in U are zero with the exception of  $C_8$ . It follows that  $c_{\mathcal{D},8}^U = 0$ .

We next consider the case when  $s_{\mathcal{D}}(C_3 \oplus C_5) = 0$  and  $s_{\mathcal{D}}(C_3 \cup C_3) > 0$ . Observe that  $c_{\mathcal{D},6}^U > 0$  unless  $t(C_3, U) = 0$ , in which case  $t(C_3 \cup C_5, U) = t(C_3, U)t(C_5, U) = 0$ . It follows that

$$c_{\mathcal{D},8}^U = s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_3) \int_{[0,1]} t_U^{C_3 \oplus P_1}(x)^2 \, \mathrm{d}x \ge 0.$$

We conclude that if the coefficient  $c_{\mathcal{D},6}^U$  is zero, then  $c_{\mathcal{D},8}^U$  is non-negative.

The final case given in the statement is that  $s_{\mathcal{D}}(C_3 \oplus C_5) = s_{\mathcal{D}}(C_3 \cup C_5) = 0$ . We obtain that

$$c_{\mathcal{D},8}^U = s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_3) \int_{[0,1]} t_U^{C_3 \oplus P_1}(x)^2 \,\mathrm{d}x \ge 0$$

without any assumptions on the coefficient  $c_{\mathcal{D},6}^U$ . In particular, the coefficient  $c_{\mathcal{D},8}^U$  is always non-negative. Hence, the first non-zero coefficient, if it exists, is either  $c_{\mathcal{D},6}^U$  or  $c_{\mathcal{D},8}^U$  and is positive. This concludes the proof of the lemma.

**Lemma 16.** An 8-deck  $\mathcal{D}$  with  $s_{\mathcal{D}}(P_2) > 0$  is of Class I if either the 6-deck of  $\mathcal{D}$  is of Class I, or the 6-deck of  $\mathcal{D}$  is of Class III,  $s_{\mathcal{D}}(C_8) > 0$  and at least one of the following holds:

- $s_{\mathcal{D}}(C_3 \oplus C_3) > 0$ ,
- $s_{\mathcal{D}}(C_3 \oplus C_5) = 0, \ s_{\mathcal{D}}(C_3 \cup C_3) > 0, \ or$

•  $s_{\mathcal{D}}(C_3 \oplus C_5) = s_{\mathcal{D}}(C_3 \cup C_5) = 0.$ 

Proof. If the 6-deck of  $\mathcal{D}$  is of Class I, then the 8-deck  $\mathcal{D}$  is also of Class I. We next assume that the 6-deck of  $\mathcal{D}$  is of Class III. Let  $\mathcal{D}'$  be the 8-deck obtained from  $\mathcal{D}$  by removing all cycles of length eight. Since the 8-deck  $\mathcal{D}'$  satisfies the assumptions of Lemma 15, the 8-deck  $\mathcal{D}'$  is of Class III. It follows that for any non-zero kernel U, all the coefficients  $c_{\mathcal{D}',2}^U, \ldots, c_{\mathcal{D}',8}^U$  are zero or the first nonzero among these coefficients is positive. Since  $c_{\mathcal{D},\ell}^U = c_{\mathcal{D}',\ell}^U$  for  $\ell = 2, 4, 6$  and  $c_{\mathcal{D},8}^U = c_{\mathcal{D}',8}^U + s_{\mathcal{D}}(C_8)t(C_8, U)$ , we obtain using Proposition 1 that at least one of the coefficients  $c_{\mathcal{D},2}^U, \ldots, c_{\mathcal{D},8}^U$  is non-zero and the first non-zero among these coefficients is positive. We conclude that the 8-deck  $\mathcal{D}$  is of Class I.

**Lemma 17.** An 8-deck  $\mathcal{D}$  with  $s_{\mathcal{D}}(P_2) > 0$  is of Class II if the 6-deck of  $\mathcal{D}$  is of Class III and at least one of the following holds:

- $s_{\mathcal{D}}(C_3 \oplus C_3) = 0$  and  $s_{\mathcal{D}}(C_3 \oplus C_5) > 0$ , or
- $s_{\mathcal{D}}(C_3 \oplus C_3) = s_{\mathcal{D}}(C_3 \cup C_3) = 0$  and  $s_{\mathcal{D}}(C_3 \cup C_5) > 0$ .

*Proof.* For each of the two cases described in the statement of the lemma, we will find a non-zero kernel U such that not all of the coefficients  $c_{\mathcal{D},2}^U, \ldots, c_{\mathcal{D},8}^U$  are zero and the first non-zero coefficient among them is negative. Since the 6-deck of  $\mathcal{D}$  is of Class III, we obtain that  $s_{\mathcal{D}}(C_4) = s_{\mathcal{D}}(C_6) = 0$  by Lemma 14.

If  $s_{\mathcal{D}}(C_3 \oplus C_3) = 0$  and  $s_{\mathcal{D}}(C_3 \oplus C_5) > 0$ , apply Lemma 9 with k = 7, m = 1, any  $\delta \in (0,1)$  such that  $s_{\mathcal{D}}(C_8)\delta \leq 1/2$ ,  $\gamma_3 = \gamma_5 = \gamma_7 = 0$ ,  $\sigma_1 = \tau_{1,7} = 0$ ,  $\tau_{1,3} = 1$  and  $\tau_{1,5} = -1$  to get a balanced kernel U with the properties given in the statement of Lemma 9. Lemma 10 yields that  $t(C_3, U) = t(C_3 \oplus P_2 \oplus C_3, U) = 0$ ,  $t(C_3 \oplus C_5, U) = -1$  and  $t(C_8, U) \leq \delta$ . Hence, we obtain that  $c_{\mathcal{D},4}^U = 0$ ,  $c_{\mathcal{D},6}^U = 0$ and

$$c_{\mathcal{D},8}^U = s_{\mathcal{D}}(C_8)t(C_8,U) + s_{\mathcal{D}}(C_3 \oplus C_5)t(C_3 \oplus C_5,U) \le -1/2.$$

We conclude that  $\mathcal{D}$  is of Class II.

We next consider the case when  $s_{\mathcal{D}}(C_3 \oplus C_3) = s_{\mathcal{D}}(C_3 \cup C_3) = 0$  and  $s_{\mathcal{D}}(C_3 \cup C_5) > 0$ . We apply Lemma 9 with k = 7, m = 0, any  $\delta \in (0, 1)$  such that  $s_{\mathcal{D}}(C_8)\delta \leq 1/2$ ,  $\gamma_3 = 1$ ,  $\gamma_5 = -1$ , and  $\gamma_7 = 0$ . Observe that  $t(C_3 \cup C_5, U) = t(C_3, U)t(C_5, U) = -1$  and  $t(C_3 \oplus C_5, U) = t(C_3 \oplus P_2 \oplus C_3, U) = 0$ . Hence, the coefficients  $c_{\mathcal{D},4}^U = 0$  and  $c_{\mathcal{D},6}^U = 0$ , and

$$c_{\mathcal{D},8}^U = s_{\mathcal{D}}(C_8)t(C_8,U) + s_{\mathcal{D}}(C_3 \cup C_5)t(C_3 \cup C_5,U) \le -1/2.$$

We conclude that  $\mathcal{D}$  is of Class II in this case, too.

Lemmas 13–17 imply the following theorem. In addition to the diagram in Figure 5, we also provide the classification in Table 1.

**Theorem 18.** Let  $\mathcal{D}$  be an 8-deck with  $s_{\mathcal{D}}(P_2) > 0$ . The deck  $\mathcal{D}$  is of Class I, Class II or Class III as determined in the diagram in Figure 5.

$s_{\mathcal{D}}(\cdot)$	$C_4$	$C_6$	$C_3 \cup C_3$	$C_3 \oplus C_3$	$C_8$	$C_3 \cup C_5$	$C_3 \oplus C_5$	$C_3 \oplus P_2 \oplus C_3$
Class I	> 0	*	*	*	*	*	*	*
Class I	0	> 0	*	*	*	*	*	*
Class I	0	0	*	> 0	> 0	*	*	*
Class II	0	0	*	0	> 0	*	> 0	*
Class I	0	0	> 0	0	> 0	*	0	*
Class II	0	0	0	0	> 0	> 0	0	(0)
Class I	0	0	0	0	> 0	0	0	(0)
Class III	0	0	*	> 0	0	*	*	*
Class II	0	0	*	0	0	*	> 0	*
Class III	0	0	> 0	0	0	*	0	*
Class II	0	0	0	0	0	> 0	0	(0)
Class III	0	0	0	0	0	0	0	(0)

Table 1: The classification of 8-decks  $\mathcal{D}$  with  $s_{\mathcal{D}}(P_2) > 0$ . An entry  $\star$  means arbitrary multiplicity, and an entry (0) represents that other columns of the same row imply that  $s_{\mathcal{D}}(\cdot)$  is 0.

*Proof.* The proof follows by inspecting the diagram in Figure 5 and verifying that every path leading to the label Class I corresponds to the assumptions of Lemma 16, every path leading to the label Class II corresponds to the assumptions of Lemma 17, and every path leading to the label Class III corresponds to the assumptions of Lemma 15.  $\hfill \Box$ 

Theorem 18 yields the following corollary.

**Corollary 19.** Every 8-deck  $\mathcal{D}$  with  $s_{\mathcal{D}}(P_2) > 0$  that is of Class III satisfies that  $s_{\mathcal{D}}(C_4) = s_{\mathcal{D}}(C_6) = s_{\mathcal{D}}(C_8) = 0$  and exactly one of the following:

- $s_{\mathcal{D}}(C_3 \oplus C_3) > 0$ ,
- $s_{\mathcal{D}}(C_3 \oplus C_3) = s_{\mathcal{D}}(C_3 \oplus C_5) = 0, \ s_{\mathcal{D}}(C_3 \cup C_3) > 0, \ or$
- $s_{\mathcal{D}}(C_3 \oplus C_3) = s_{\mathcal{D}}(C_3 \oplus C_5) = s_{\mathcal{D}}(C_3 \cup C_3) = s_{\mathcal{D}}(C_3 \cup C_5) = 0.$

#### 6 Decks with ten edges

In this section we prove Theorem 23, which determines classes of 10-decks; the statement is illustrated in Figure 7. Lemmas 20, 21, and 22 describe when a given 10-deck is of Class III, Class I, or Class II, respectively.

**Lemma 20.** A 10-deck  $\mathcal{D}$  is of Class III if the 8-deck of  $\mathcal{D}$  is of Class III,  $s_{\mathcal{D}}(C_{10}) = 0$  and at least one of the following holds:

•  $s_{\mathcal{D}}(C_3 \oplus C_3) > 0$ ,



Figure 5: The classification of 8-decks  $\mathcal{D}$  with  $s_{\mathcal{D}}(P_2) > 0$ ; we omit the subscript  $\mathcal{D}$  in the diagram.



Figure 6: Principal 10-edge graphs.

- $s_{\mathcal{D}}(C_3 \cup C_3) > 0$ ,  $s_{\mathcal{D}}(C_3 \oplus C_7) = 0$  and  $s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_3) > 0$ ,
- $s_{\mathcal{D}}(C_3 \cup C_3) > 0$ ,  $s_{\mathcal{D}}(C_3 \oplus C_7) = 0$  and  $4s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3)s_{\mathcal{D}}(C_5 \oplus C_5) \ge s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)^2$ , or

• 
$$s_{\mathcal{D}}(C_3 \oplus C_3) = s_{\mathcal{D}}(C_3 \cup C_3) = s_{\mathcal{D}}(C_3 \oplus C_7) = s_{\mathcal{D}}(C_3 \cup C_7) = 0.$$

*Proof.* Fix a 10-deck  $\mathcal{D}$  such that the 8-deck of  $\mathcal{D}$  is of Class III,  $s_{\mathcal{D}}(C_{10}) = 0$  and that satisfies at least one of the four cases given in the statement of the lemma. By Corollary 19, it holds that  $s_{\mathcal{D}}(C_{\ell}) = 0$  for  $\ell = 2, 4, 6, 8$ . Hence, Lemma 12 yields that there exists a non-zero kernel U such that  $c_{\mathcal{D},2}^U = \cdots = c_{\mathcal{D},10}^U = 0$ .

We next fix a non-zero kernel U and show that either all the coefficients  $c_{\mathcal{D},2}^U, \ldots, c_{\mathcal{D},10}^U$  are zero or at least one of them is non-zero and the first non-zero among them is positive. If U is not balanced, then  $c_{\mathcal{D},2}^U > 0$  by Proposition 4. Otherwise, t(H,U) = 0 for every graph H with at most ten edges that is not principal. This yields that  $c_{\mathcal{D},2}^U = 0$  and  $c_{\mathcal{D},4}^U = 0$ .

We first assume that  $s_{\mathcal{D}}(C_3 \oplus C_3) > 0$ . As in the proof of Lemma 15, we observe that the coefficient  $c_{\mathcal{D},6}^U$  is positive unless  $t_U^{C_3}(x) = 0$  for almost every  $x \in [0,1]$ . In the latter case, t(H,U) = 0 for every principal graph H with at most ten edges unless H is an even cycle,  $H = C_5 \cup C_5$  or  $H = C_5 \oplus C_5$ . Since  $t(C_5 \cup C_5, U) \ge 0$  and  $t(C_5 \oplus C_5, U) \ge 0$ , we conclude that the 10-deck  $\mathcal{D}$  is indeed of Class III.

We assume in the rest of the proof that  $s_{\mathcal{D}}(C_3 \oplus C_3) = 0$  (otherwise, the first case of the lemma applies); Corollary 19 implies that  $s_{\mathcal{D}}(C_3 \oplus C_5) = 0$ . Since all the three remaining cases also include the assumption that  $s_{\mathcal{D}}(C_3 \oplus C_7) = 0$ , we will also assume that  $s_{\mathcal{D}}(C_3 \oplus C_7) = 0$ . In addition, it holds that  $s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_3) > 0$  in the second case that we consider. The same arguments as presented in the proof of Lemma 15 yields that the coefficient  $c_{\mathcal{D},6}^U = s_{\mathcal{D}}(C_3 \cup C_3)t(C_3, U)^2$  is nonnegative and it is equal to zero if and only if  $t(C_3, U) = 0$ . If  $t(C_3, U) = 0$ , then  $c_{\mathcal{D},8}^U = s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_3)t(C_3 \oplus P_2 \oplus C_3, U)$ , which implies that  $c_{\mathcal{D},8}^U$  is non-negative and it is equal to zero if and only if  $t_U^{C_3 \oplus P_1}(x) = 0$  for almost every  $x \in [0, 1]$ . Hence,  $c_{\mathcal{D},6}^U = 0$  and  $c_{\mathcal{D},8}^U = 0$  if and only if  $t(C_3, U) = 0$  and  $t_U^{C_3 \oplus P_1}(x) = 0$  for almost every  $x \in [0, 1]$ ; otherwise, the first non-zero of these two coefficients is positive. If  $t(C_3, U) = 0$  and  $t_U^{C_3 \oplus P_1}(x) = 0$  for almost every  $x \in [0, 1]$ , then the coefficient  $c_{\mathcal{D},10}^U$  is equal to

$$c_{\mathcal{D},10}^U = s_{\mathcal{D}}(C_5 \cup C_5)t(C_5 \cup C_5, U) + s_{\mathcal{D}}(C_5 \oplus C_5)t(C_5 \oplus C_5, U),$$

i.e.,  $c_{\mathcal{D},10}^U$  is non-negative. This concludes the analysis of the case when  $s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_3) > 0$ .

We assume in the rest of the proof that  $s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_3) = 0$  in addition to  $s_{\mathcal{D}}(C_3 \oplus C_3) = s_{\mathcal{D}}(C_3 \oplus C_5) = s_{\mathcal{D}}(C_3 \oplus C_7) = 0$ . In the third case, it holds that  $s_{\mathcal{D}}(C_3 \cup C_3) > 0$  and  $4s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3)s_{\mathcal{D}}(C_5 \oplus C_5) \ge s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)^2$ . Since  $c_{\mathcal{D},6}^U = s_{\mathcal{D}}(C_3 \cup C_3)t(C_3, U)^2$ , we conclude that either  $c_{\mathcal{D},6}^U$  is positive or  $t(C_3, U) = 0$ . In the latter case, it holds that

$$c_{\mathcal{D},8}^U = s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_3)t(C_3 \oplus P_2 \oplus C_3, U) \ge 0$$

and

$$\begin{aligned} c_{\mathcal{D},10}^U =& s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3) t(C_3 \oplus P_4 \oplus C_3, U) + \\ & s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5) t(C_3 \oplus P_2 \oplus C_5, U) + \\ & s_{\mathcal{D}}(C_5 \oplus C_5) t(C_5 \oplus C_5, U) + s_{\mathcal{D}}(C_5 \cup C_5) t(C_5 \cup C_5, U). \end{aligned}$$

Since it holds that  $t(C_5 \cup C_5, U) = t(C_5, U)^2 \ge 0$ , it follows that

$$c_{\mathcal{D},10}^{U} \ge \int_{[0,1]} \begin{pmatrix} t_{U}^{C_{3} \oplus P_{2}}(x) \\ t_{U}^{C_{5}}(x) \end{pmatrix}^{T} \begin{pmatrix} s_{\mathcal{D}}(C_{3} \oplus P_{4} \oplus C_{3}) & \frac{s_{\mathcal{D}}(C_{3} \oplus P_{2} \oplus C_{5})}{2} \\ \frac{s_{\mathcal{D}}(C_{3} \oplus P_{2} \oplus C_{5})}{2} & s_{\mathcal{D}}(C_{5} \oplus C_{5}) \end{pmatrix} \begin{pmatrix} t_{U}^{C_{3} \oplus P_{2}}(x) \\ t_{U}^{C_{5}}(x) \end{pmatrix} dx.$$

The assumption that  $4s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3)s_{\mathcal{D}}(C_5 \oplus C_5) \ge s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)^2$  implies that the matrix

$$\begin{pmatrix} s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3) & \frac{s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)}{2} \\ \frac{s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)}{2} & s_{\mathcal{D}}(C_5 \oplus C_5) \end{pmatrix}$$

is positive semidefinite, which yields that the product in the integral above is non-negative for every  $x \in [0, 1]$ . It follows that  $c_{\mathcal{D},10}^U \geq 0$ . This concludes the analysis of the third case of the lemma.

It remains to analyze the final case. In this case, it holds that  $s_{\mathcal{D}}(C_3 \cup C_3) = 0$ and  $s_{\mathcal{D}}(C_3 \oplus C_3) = 0$ , which yields that  $s_{\mathcal{D}}(C_3 \cup C_5) = 0$  by Corollary 19. It follows that  $c_{\mathcal{D},2}^U = c_{\mathcal{D},4}^U = c_{\mathcal{D},6}^U = c_{\mathcal{D},8}^U = 0$  and  $s_{\mathcal{D}}(H)$  can be non-zero only for the following 10-edge principal graphs  $H: C_5 \cup C_5, C_5 \oplus C_5$  and  $C_3 \oplus P_4 \oplus C_3$ . Since  $t(H,U) \geq 0$  for each of these graphs H, we obtain that  $c_{\mathcal{D},10}^U$  is non-negative. Hence, the 10-deck  $\mathcal{D}$  is of Class III.

**Lemma 21.** A 10-deck  $\mathcal{D}$  is of Class I if either the 8-deck of  $\mathcal{D}$  is of Class I or the 8-deck of  $\mathcal{D}$  is of Class III,  $s_{\mathcal{D}}(C_{10}) > 0$  and at least one of the following holds:

- $s_{\mathcal{D}}(C_3 \oplus C_3) > 0$ ,
- $s_{\mathcal{D}}(C_3 \cup C_3) > 0$ ,  $s_{\mathcal{D}}(C_3 \oplus C_7) = 0$  and  $s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_3) > 0$ ,
- $s_{\mathcal{D}}(C_3 \cup C_3) > 0$ ,  $s_{\mathcal{D}}(C_3 \oplus C_7) = 0$  and  $4s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3)s_{\mathcal{D}}(C_5 \oplus C_5) \ge s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)^2$ , or
- $s_{\mathcal{D}}(C_3 \oplus C_3) = s_{\mathcal{D}}(C_3 \cup C_3) = s_{\mathcal{D}}(C_3 \oplus C_7) = s_{\mathcal{D}}(C_3 \cup C_7) = 0.$

Proof. If the 8-deck of  $\mathcal{D}$  is of Class I, then the 10-deck  $\mathcal{D}$  is also of Class I. We next assume that the 8-deck of  $\mathcal{D}$  is of Class III. Let  $\mathcal{D}'$  be the 10-deck obtained from  $\mathcal{D}$  by removing all cycles of length ten. Since the 10-deck  $\mathcal{D}'$  satisfies the assumptions of Lemma 20, the 10-deck  $\mathcal{D}'$  is of Class III. It follows that for any non-zero kernel U, all the coefficients  $c_{\mathcal{D}',2}^U, \ldots, c_{\mathcal{D}',10}^U$  are zero or the first nonzero among these coefficients is positive. Since  $c_{\mathcal{D},\ell}^U = c_{\mathcal{D}',\ell}^U$  for  $\ell = 2, 4, 6, 8$  and  $c_{\mathcal{D},10}^U = c_{\mathcal{D}',10}^U + s_{\mathcal{D}}(C_{10})t(C_{10}, U)$ , we obtain using Proposition 1 that at least one of the coefficients  $c_{\mathcal{D},2}^U, \ldots, c_{\mathcal{D},10}^U$  is non-zero and the first non-zero among these coefficients is positive. This implies that the 10-deck  $\mathcal{D}$  is of Class I.

**Lemma 22.** A 10-deck  $\mathcal{D}$  is of Class II if either the 8-deck of  $\mathcal{D}$  is of Class II or the 8-deck of  $\mathcal{D}$  is of Class III and at least one of the following holds:

- $s_{\mathcal{D}}(C_3 \oplus C_3) = 0$  and  $s_{\mathcal{D}}(C_3 \oplus C_7) > 0$ ,
- $s_{\mathcal{D}}(C_3 \oplus C_3) = s_{\mathcal{D}}(C_3 \cup C_3) = 0$  and  $s_{\mathcal{D}}(C_3 \cup C_7) > 0$ , or
- $s_{\mathcal{D}}(C_3 \oplus C_3) = s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_3) = 0$  and  $4s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3)s_{\mathcal{D}}(C_5 \oplus C_5) < s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)^2$ .

*Proof.* Fix a 10-deck  $\mathcal{D}$ . If the 8-deck of  $\mathcal{D}$  is of Class I or II, then there is nothing to prove. Hence, we assume that the 8-deck of  $\mathcal{D}$  is of Class III and analyze each of the three cases listed in the statement of the lemma separately. Note that  $s_{\mathcal{D}}(C_{\ell}) = 0$  for  $\ell = 2, 4, 6, 8$ .

In the first case, we apply Lemma 9 with k = 9, m = 1, any  $\delta \in (0, 1)$  such that  $s_{\mathcal{D}}(C_{10})\delta \leq 1/2$ ,  $\gamma_3 = \gamma_5 = \gamma_7 = \gamma_9 = 0$ ,  $\sigma_1 = 0$ ,  $\tau_{1,3} = 1$ ,  $\tau_{1,5} = \tau_{1,9} = 0$  and  $\tau_{1,7} = -1$  to get a balanced kernel U with the properties given in the statement of Lemma 9. Note that t(H, U) = 0 for all principal graphs with at most 10 edges with the exception of H being an even cycle,  $C_3 \oplus C_3$  or  $C_3 \oplus C_7$ . It follows that  $c_{\mathcal{D},\ell}^U = 0$  for  $\ell = 2, 4, 6, 8$  and

$$c_{\mathcal{D},10}^U = s_{\mathcal{D}}(C_{10})t(C_{10},U) + s_{\mathcal{D}}(C_3 \oplus C_7)t(C_3 \oplus C_7,U) \le -1/2.$$

Hence, the 10-deck  $\mathcal{D}$  is of Class II.

In the second case, we apply Lemma 9 with k = 9, m = 0, any  $\delta \in (0, 1)$  such that  $s_{\mathcal{D}}(C_{10})\delta \leq 1/2$ ,  $\gamma_3 = 1$ ,  $\gamma_5 = \gamma_9 = 0$  and  $\gamma_7 = -1$  to get a balanced kernel U. Note that t(H, U) = 0 for all principal graphs with at most 10 edges with the exception of H being an even cycle,  $C_3 \cup C_3$ ,  $C_3 \oplus C_3$  or  $C_3 \cup C_7$ . It follows that  $c_{\mathcal{D},\ell}^U = 0$  for  $\ell = 2, 4, 6, 8$  and

$$c_{\mathcal{D},10}^U = s_{\mathcal{D}}(C_{10})t(C_{10},U) + s_{\mathcal{D}}(C_3 \cup C_7)t(C_3 \cup C_7,U) \le -1/2.$$

Hence, the 10-deck  $\mathcal{D}$  is of Class II in this case, too.

It remains to consider the final case given in the statement of the lemma. Since  $4s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3)s_{\mathcal{D}}(C_5 \oplus C_5) - s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)^2$  is negative, the matrix

$$\begin{pmatrix} s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3) & \frac{s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)}{2} \\ \frac{s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)}{2} & s_{\mathcal{D}}(C_5 \oplus C_5) \end{pmatrix}$$

has a negative eigenvalue, i.e., there exists a vector  $(z_3, z_5) \in \mathbb{R}^2$  such that

$$\begin{pmatrix} z_3 \\ z_5 \end{pmatrix}^T \begin{pmatrix} s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3) & \frac{s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)}{2} \\ \frac{s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)}{2} & s_{\mathcal{D}}(C_5 \oplus C_5) \end{pmatrix} \begin{pmatrix} z_3 \\ z_5 \end{pmatrix} = -1.$$

We next apply Lemma 9 with k = 9, m = 1, any  $\delta \in (0, 1)$  such that  $s_{\mathcal{D}}(C_{10})\delta \leq 1/2$ ,  $\gamma_3 = \gamma_5 = \gamma_7 = \gamma_9 = 0$ ,  $\sigma_1 = \delta/2$ ,  $\tau_{1,3} = 4z_3/\delta^2$ ,  $\tau_{1,5} = z_5$  and  $\tau_{1,7} = \tau_{1,9} = 0$  to get a balanced kernel U with the properties given in the statement of Lemma 9; let  $f_1$  be the eigenfunction from the statement of Lemma 9. Note that  $t(C_3, U) = t(C_5, U) = t(C_7, U) = 0$ . Since  $s_{\mathcal{D}}(C_3 \oplus C_3) = 0$ , it holds that  $s_{\mathcal{D}}(C_3 \oplus C_5) = 0$  by Corollary 19. It follows that  $c_{\mathcal{D},\ell}^U = 0$  for  $\ell = 2, 4, 6, 8$ . For every 10-edge principal graph H, it holds that  $s_{\mathcal{D}}(H) = 0$  or t(H, U) = 0 unless H is  $C_3 \oplus P_4 \oplus C_3$ ,  $C_3 \oplus P_2 \oplus C_5$  or  $C_5 \oplus C_5$  (here, we use that  $s_{\mathcal{D}}(H) = 0$  for every h containing  $C_3 \oplus C_3$  as  $s_{\mathcal{D}}(C_3 \oplus C_3) = 0$ ). It follows that

$$c_{\mathcal{D},10}^{U} = s_{\mathcal{D}}(C_{10})t(C_{10}, U) + s_{\mathcal{D}}(C_{3} \oplus P_{4} \oplus C_{3})t(C_{3} \oplus P_{4} \oplus C_{3}, U) + s_{\mathcal{D}}(C_{3} \oplus P_{2} \oplus C_{5})t(C_{3} \oplus P_{2} \oplus C_{5}, U) + s_{\mathcal{D}}(C_{5} \oplus C_{5})t(C_{5} \oplus C_{5}, U)$$

which can be rewritten as

$$\begin{aligned} c_{\mathcal{D},10}^{\mathcal{U}} = & s_{\mathcal{D}}(C_{10})t(C_{10}, \mathcal{U}) + \\ & \int_{[0,1]} \left( t_{U}^{C_{3} \oplus P_{2}}(x) \atop t_{U}^{C_{5}}(x) \right)^{T} \left( s_{\mathcal{D}}(C_{3} \oplus P_{4} \oplus C_{3}) \quad \frac{s_{\mathcal{D}}(C_{3} \oplus P_{2} \oplus C_{5})}{2} \\ & \frac{s_{\mathcal{D}}(C_{3} \oplus P_{2} \oplus C_{5})}{2} \quad s_{\mathcal{D}}(C_{5} \oplus C_{5}) \right) \left( t_{U}^{C_{3} \oplus P_{2}}(x) \atop t_{U}^{C_{5}}(x) \right) \, \mathrm{d}x. \end{aligned}$$

Since  $t_U^{C_3 \oplus P_2}(x) = \sigma_1^2 \tau_{1,3} f_1(x) = z_3 f_1(x)$  and  $t_U^{C_5}(x) = \tau_{1,5} f_1(x) = z_5 f_1(x)$ , we obtain that

$$c_{\mathcal{D},10}^{U} = s_{\mathcal{D}}(C_{10})t(C_{10}, U) + \int_{[0,1]} {\binom{z_3}{z_5}}^T \left( s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3) & \frac{s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)}{2} \\ \frac{s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)}{2} & s_{\mathcal{D}}(C_5 \oplus C_5) \right) {\binom{z_3}{z_5}} f_1(x)^2 \, \mathrm{d}x.$$

Since the integral is equal to -1 as the  $L_2$ -norm of  $f_1$  is one, it follows that  $c_{\mathcal{D},10}^U \leq -1/2$ . We conclude that the 10-deck  $\mathcal{D}$  is of Class II.

We are now ready to state the main theorem of this section.



Figure 7: The classification of 10-decks  $\mathcal{D}$  with  $s_{\mathcal{D}}(P_2) > 0$  whose 8-decks is of Class III; we omit the subscript  $\mathcal{D}$  in the diagram.

**Theorem 23.** Let  $\mathcal{D}$  be a 10-deck with  $s_{\mathcal{D}}(P_2) > 0$ . If the 8-deck of  $\mathcal{D}$  is of Class I or of Class II, then  $\mathcal{D}$  is of Class I or of Class II, respectively. Otherwise, the deck  $\mathcal{D}$  is of Class I, Class II or Class III as determined in the diagram in Figure 7.

*Proof.* The proof follows by inspecting the diagram in Figure 7 and verifying that every path leading to the label Class I corresponds to the assumptions of Lemma 21, every path leading to the label Class II corresponds to the assumptions of Lemma 22, and every path leading to the label Class III corresponds to the assumptions of Lemma 20.  $\Box$ 

Theorem 23 yields the following corollary.

**Corollary 24.** Every 10-deck  $\mathcal{D}$  of Class III satisfies that  $s_{\mathcal{D}}(P_2) > 0$ ,  $s_{\mathcal{D}}(C_4) = s_{\mathcal{D}}(C_6) = s_{\mathcal{D}}(C_8) = s_{\mathcal{D}}(C_{10}) = 0$  and exactly one of the following:

- $s_{\mathcal{D}}(C_3 \oplus C_3) > 0$ ,
- $s_{\mathcal{D}}(C_3 \oplus C_3) = s_{\mathcal{D}}(C_3 \oplus C_5) = s_{\mathcal{D}}(C_3 \oplus C_7) = s_{\mathcal{D}}(C_3 \cup C_3) = s_{\mathcal{D}}(C_3 \cup C_5) = s_{\mathcal{D}}(C_3 \cup C_7) = 0,$
- $s_{\mathcal{D}}(C_3 \oplus C_3) = s_{\mathcal{D}}(C_3 \oplus C_5) = s_{\mathcal{D}}(C_3 \oplus C_7) = 0, \ s_{\mathcal{D}}(C_3 \cup C_3) > 0 \ and \ s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_3) > 0, \ or$
- $s_{\mathcal{D}}(C_3 \oplus C_3) = s_{\mathcal{D}}(C_3 \oplus C_5) = s_{\mathcal{D}}(C_3 \oplus C_7) = s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_3) = 0,$  $s_{\mathcal{D}}(C_3 \cup C_3) > 0 \text{ and } 4s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3)s_{\mathcal{D}}(C_5 \oplus C_5) \ge s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)^2.$

## 7 Decks with twelve edges

In this section, we analyze 12-decks such that their 10-decks are of Class III (Lemmas 25–32) and prove our main result, Theorem 33; the statement of the theorem is illustrated in Figure 11. The first three lemmas cover the first three cases described in Corollary 24 respectively.

**Lemma 25.** Let  $\mathcal{D}$  be a 12-deck such that its 10-deck is of Class III,  $s_{\mathcal{D}}(P_2) > 0$ and  $s_{\mathcal{D}}(C_3 \oplus C_3) > 0$ . If

- $s_{\mathcal{D}}(C_5 \oplus C_5) = 0$  and  $s_{\mathcal{D}}(C_5 \oplus C_7) > 0$ , or
- $s_{\mathcal{D}}(C_5 \oplus C_5) = s_{\mathcal{D}}(C_5 \cup C_5) = 0 \text{ and } s_{\mathcal{D}}(C_5 \cup C_7) > 0,$

then  $\mathcal{D}$  is of Class II. Otherwise,  $\mathcal{D}$  is of Class I if  $s_{\mathcal{D}}(C_{12}) > 0$  and of Class III if  $s_{\mathcal{D}}(C_{12}) = 0$ .



Figure 8: Principal 12-edge graphs.

Proof. Let  $\mathcal{D}$  be a 12-deck  $\mathcal{D}$  such that its 10-deck is of Class III,  $s_{\mathcal{D}}(P_2) > 0$ and  $s_{\mathcal{D}}(C_3 \oplus C_3) > 0$ . Note that  $s_{\mathcal{D}}(C_\ell) = 0$  for  $\ell = 4, 6, 8, 10$  by Corollary 24. We first show that if  $\mathcal{D}$  satisfies one of the two conditions in the statement of the lemma, then  $\mathcal{D}$  is of Class II. The first case to consider is when  $s_{\mathcal{D}}(C_5 \oplus C_5) = 0$ and  $s_{\mathcal{D}}(C_5 \oplus C_7) > 0$ . We apply Lemma 9 with k = 11, m = 1, any  $\delta \in (0, 1)$  such that  $s_{\mathcal{D}}(C_{12})\delta \leq 1/2, \gamma_3 = \gamma_5 = \gamma_7 = \gamma_9 = \gamma_{11} = 0, \sigma_1 = 0, \tau_{1,3} = \tau_{1,9} = \tau_{1,11} = 0,$  $\tau_{1,5} = 1$  and  $\tau_{1,7} = -1$  to get a non-zero kernel U with the properties given in Lemmas 9 and 10. It holds that t(H, U) = 0 for all principal subgraphs with at most twelve edges with the exception of H being an even cycle,  $C_5 \oplus C_5$  or  $C_5 \oplus C_7$ . It follows that  $c_{\mathcal{D},2}^U = \cdots = c_{\mathcal{D},10}^U = 0$  and

$$c_{\mathcal{D},12}^U = s_{\mathcal{D}}(C_{12})t(C_{12},U) + s_{\mathcal{D}}(C_5 \oplus C_7)t(C_5 \oplus C_7,U) \le -1/2.$$

Hence, the deck  $\mathcal{D}$  is of Class II.

The second case is when  $s_{\mathcal{D}}(C_5 \oplus C_5) = s_{\mathcal{D}}(C_5 \cup C_5) = 0$  and  $s_{\mathcal{D}}(C_5 \cup C_7) > 0$ . We apply Lemma 9 with k = 11, m = 0, any  $\delta \in (0, 1)$  such that  $s_{\mathcal{D}}(C_{12})\delta \leq 1/2$ ,  $\gamma_3 = \gamma_9 = \gamma_{11} = 0$ ,  $\gamma_5 = 1$  and  $\gamma_7 = -1$  to get a non-zero kernel U with the properties given in Lemmas 9 and 10. It holds that t(H, U) = 0 for all principal subgraphs with at most twelve edges with the exception of H being an even cycle,  $C_5 \oplus C_5, C_5 \cup C_5$  or  $C_5 \cup C_7$ . Hence, it holds that  $c_{\mathcal{D},2}^U = \cdots = c_{\mathcal{D},10}^U = 0$  and

$$c_{\mathcal{D},12}^U = s_{\mathcal{D}}(C_{12})t(C_{12},U) + s_{\mathcal{D}}(C_5 \cup C_7)t(C_5 \cup C_7,U) \le -1/2,$$

which yields that the deck  $\mathcal{D}$  is of Class II.

We now prove that if the deck  $\mathcal{D}$  does not satisfy any of the two conditions in the statement of the lemma, then it is of Class I or Class III. Following the line of arguments presented in the proofs of Lemmas 16 and 21, it is enough to establish that the deck  $\mathcal{D}$  is of Class III when  $s_{\mathcal{D}}(C_{12}) = 0$ ; note that if  $s_{\mathcal{D}}(C_{12}) = 0$ , then the deck  $\mathcal{D}$  is not of Class I by Lemma 12.

Let U be an arbitrary non-zero kernel. The coefficient  $c_{\mathcal{D},2}^U$  is positive unless U is balanced. Hence, we can assume that U is balanced. Since  $s_{\mathcal{D}}(C_4) = 0$ , we obtain that  $c_{\mathcal{D},4}^U = 0$ . Since all  $t(C_6, U)$ ,  $t(C_3 \oplus C_3, U)$  and  $t(C_3 \cup C_3, U)$  are non-negative, we obtain that  $c_{\mathcal{D},6}^U \ge s_{\mathcal{D}}(C_3 \oplus C_3)t(C_3 \oplus C_3, U)$ . It follows that  $c_{\mathcal{D},6}^U$  is positive unless  $t_U^{C_3}(x) = 0$  for almost every  $x \in [0, 1]$ . Hence, we can further assume that  $t_U^{C_3}(x) = 0$  for almost every  $x \in [0, 1]$ . This implies that t(H, U) = 0 for all principal graphs with eight or ten edges with the exception of H being  $C_8$ ,  $C_{10}, C_5 \cup C_5$  or  $C_5 \oplus C_5$ . Hence, the coefficient  $c_{\mathcal{D},8}^U$  is zero and the coefficient  $c_{\mathcal{D},10}^U$  is non-negative.

If  $s_{\mathcal{D}}(C_5 \oplus C_5) > 0$ , then  $c_{\mathcal{D},10}^U$  is positive unless  $t_U^{C_5}(x) = 0$  for almost every  $x \in [0,1]$ ; in the latter case, t(H,U) = 0 for every principal 12-edge graph H with the exception of  $C_{12}$ , which yields that  $c_{\mathcal{D},12}^U = 0$ . If  $s_{\mathcal{D}}(C_5 \oplus C_5) = 0$  and  $s_{\mathcal{D}}(C_5 \cup C_5) > 0$ , then  $c_{\mathcal{D},10}^U$  is positive unless  $t(C_5, U) = 0$ ; if  $t(C_5, U) = 0$ , then  $s_{\mathcal{D}}(H) = 0$  or t(H,U) = 0 for every principal 12-edge graph H with the exception

of  $C_{12}$ ,  $C_5 \oplus P_2 \oplus C_5$  and  $C_5 \oplus C_7$ . In particular, unless the first case described in the statement of the lemma applies, the coefficient  $c_{\mathcal{D},12}^U$  is non-negative. Finally, if  $s_{\mathcal{D}}(C_5 \oplus C_5) = s_{\mathcal{D}}(C_5 \cup C_5) = 0$ , then  $s_{\mathcal{D}}(H) = 0$  or t(H, U) = 0 for every principal 12-edge graph H with the exception of  $C_{12}$ ,  $C_5 \oplus P_2 \oplus C_5$ ,  $C_5 \oplus C_7$  and  $C_5 \cup C_7$ , and  $c_{\mathcal{D},12}^U$  is non-negative unless the second case in the statement of the lemma applies. We conclude that  $\mathcal{D}$  is of Class III in either of the three cases distinguished in this paragraph.

**Lemma 26.** Let  $\mathcal{D}$  be a 12-deck such that its 10-deck is of Class III,  $s_{\mathcal{D}}(P_2) > 0$ ,  $s_{\mathcal{D}}(C_3 \oplus C_3) = s_{\mathcal{D}}(C_3 \cup C_3) = 0$ . If

- $s_{\mathcal{D}}(C_3 \oplus C_9) > 0$ ,
- $s_{\mathcal{D}}(C_3 \cup C_9) > 0$ ,
- $s_{\mathcal{D}}(C_5 \oplus C_5) = 0$  and  $s_{\mathcal{D}}(C_5 \oplus C_7) > 0$ , or
- $s_{\mathcal{D}}(C_5 \oplus C_5) = s_{\mathcal{D}}(C_5 \cup C_5) = 0$  and  $s_{\mathcal{D}}(C_5 \cup C_7) > 0$ ,

then  $\mathcal{D}$  is of Class II. Otherwise,  $\mathcal{D}$  is of Class I if  $s_{\mathcal{D}}(C_{12}) > 0$  and of Class III if  $s_{\mathcal{D}}(C_{12}) = 0$ .

Proof. Let  $\mathcal{D}$  be a 12-deck  $\mathcal{D}$  such that its 10-deck is of Class III,  $s_{\mathcal{D}}(P_2) > 0$ and  $s_{\mathcal{D}}(C_3 \oplus C_3) = s_{\mathcal{D}}(C_3 \cup C_3) = 0$ . Note that  $s_{\mathcal{D}}(C_\ell) = 0$  for  $\ell = 4, 6, 8, 10$  and  $s_{\mathcal{D}}(C_3 \oplus C_\ell) = s_{\mathcal{D}}(C_3 \cup C_\ell) = 0$  for  $\ell = 5, 7$  by Corollary 24. Note that  $s_{\mathcal{D}}(H)$  can be positive only for the following principal graphs H with at most twelve edges:  $C_5 \cup C_5, C_5 \oplus C_5, C_{12}, C_3 \cup C_9, C_3 \oplus C_9, C_5 \oplus P_2 \oplus C_5, C_5 \cup C_7$  and  $C_5 \oplus C_7$ .

We first show that if  $\mathcal{D}$  satisfies one of the four conditions in the statement of the lemma, then  $\mathcal{D}$  is of Class II. In the first two cases, we apply Lemma 9 with k = 11, m = 1, any  $\delta \in (0, 1)$  such that  $s_{\mathcal{D}}(C_{12})\delta \leq 1/2$ ,  $\gamma_3 = 1$ ,  $\gamma_9 = -1$ ,  $\gamma_5 = \gamma_7 = \gamma_{11} = 0$ ,  $\sigma_1 = 0$ ,  $\tau_{1,3} = 1$ ,  $\tau_{1,9} = -1$  and  $\tau_{1,5} = \tau_{1,7} = \tau_{1,11} = 0$ , and we get a non-zero kernel U that satisfies the properties listed in Lemma 9. Since  $t(C_5 \cup C_5, U)$ ,  $t(C_5 \oplus C_5, U)$ ,  $t(C_5 \oplus P_2 \oplus C_5, U)$ ,  $t(C_5 \cup C_7, U)$  and  $t(C_5 \oplus C_7, U)$ are equal to zero, it follows that  $c_{\mathcal{D},2}^U = \cdots = c_{\mathcal{D},10}^U = 0$  and

$$c_{\mathcal{D},12}^{U} = s_{\mathcal{D}}(C_{12})t(C_{12},U) + s_{\mathcal{D}}(C_{3} \oplus C_{9})t(C_{3} \oplus C_{9},U) + s_{\mathcal{D}}(C_{3} \cup C_{9})t(C_{3} \cup C_{9},U) \leq s_{\mathcal{D}}(C_{12})t(C_{12},U) - 1 \leq -1/2.$$

Hence, the deck  $\mathcal{D}$  is of Class II.

We next consider the case that  $s_{\mathcal{D}}(C_5 \oplus C_5) = 0$  and  $s_{\mathcal{D}}(C_5 \oplus C_7) > 0$ . In this case, we apply Lemma 9 with k = 11, m = 1, any  $\delta \in (0, 1)$  such that  $s_{\mathcal{D}}(C_{12})\delta \leq 1/2$ ,  $\gamma_3 = \gamma_5 = \gamma_7 = \gamma_9 = \gamma_{11} = 0$ ,  $\sigma_1 = 0$ ,  $\tau_{1,5} = 1$ ,  $\tau_{1,7} = -1$  and  $\tau_{1,3} = \tau_{1,9} = \tau_{1,11} = 0$  to get a non-zero kernel U. Similarly to the previous case, it holds that  $c_{\mathcal{D},2}^U = \cdots = c_{\mathcal{D},10}^U = 0$  and

$$c_{\mathcal{D},12}^U = s_{\mathcal{D}}(C_{12})t(C_{12},U) + s_{\mathcal{D}}(C_5 \oplus C_7)t(C_5 \oplus C_7,U) \le -1/2.$$

In the final case when  $s_{\mathcal{D}}(C_5 \oplus C_5) = s_{\mathcal{D}}(C_5 \cup C_5) = 0$  and  $s_{\mathcal{D}}(C_5 \cup C_7) > 0$ , we apply Lemma 9 with k = 11, m = 0, any  $\delta \in (0, 1)$  such that  $s_{\mathcal{D}}(C_{12})\delta \leq 1/2$ ,  $\gamma_5 = 1$ ,  $\gamma_7 = -1$  and  $\gamma_3 = \gamma_9 = \gamma_{11} = 0$ . We obtain a non-zero kernel U such that  $c_{\mathcal{D},2}^U = \cdots = c_{\mathcal{D},10}^U = 0$  and

$$c_{\mathcal{D},12}^U = s_{\mathcal{D}}(C_{12})t(C_{12},U) + s_{\mathcal{D}}(C_5 \cup C_7)t(C_5 \cup C_7,U) \le -1/2.$$

In both cases, we conclude that the deck  $\mathcal{D}$  is of Class II.

We now prove that if the deck  $\mathcal{D}$  does not satisfy any of the four conditions in the statement of the lemma, then it is of Class I or Class III. Following the line of arguments presented in the proofs of Lemmas 16 and 21, it is enough to establish that the deck  $\mathcal{D}$  is of Class III when  $s_{\mathcal{D}}(C_{12}) = 0$ ; note that if  $s_{\mathcal{D}}(C_{12}) = 0$ , then the deck  $\mathcal{D}$  is not of Class I by Lemma 12.

Let U be an arbitrary non-zero kernel. The coefficient  $c_{\mathcal{D},2}^U$  is positive unless U is balanced. Hence, we can assume that U is balanced, which implies that  $c_{\mathcal{D},2}^U = \cdots = c_{\mathcal{D},8}^U = 0$ , since we already deduced that  $s_{\mathcal{D}}(H) = 0$  for every principal graph H with at most eight edges. In addition,  $c_{\mathcal{D},10}^U \ge 0$  and the equality holds only in the following three cases: both  $s_{\mathcal{D}}(C_5 \cup C_5)$  and  $s_{\mathcal{D}}(C_5 \oplus C_5)$  are zero, or  $s_{\mathcal{D}}(C_5 \oplus C_5)$  is zero and  $t(C_5, U) = 0$ , or  $t_U^{C_5}(x) = 0$  for almost every  $x \in [0, 1]$ . It is now straightforward to verify that if  $c_{\mathcal{D},10}^U = 0$  and none of the cases given in the statement of the lemma applies, then

$$c_{\mathcal{D},12}^{\mathcal{U}} = s_{\mathcal{D}}(C_5 \oplus P_2 \oplus C_5)t(C_5 \oplus P_2 \oplus C_5)$$
$$= \int_{[0,1]} t_U^{C_5 \oplus P_1}(x)^2 \,\mathrm{d}x \ge 0.$$

We can now conclude that the deck  $\mathcal{D}$  is of Class III.

**Lemma 27.** Let  $\mathcal{D}$  be a 12-deck such that its 10-deck is of Class III,  $s_{\mathcal{D}}(P_2) > 0$ ,  $s_{\mathcal{D}}(C_3 \oplus C_3) = 0$ ,  $s_{\mathcal{D}}(C_3 \cup C_3) > 0$  and  $s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_3) > 0$ . If

- $s_{\mathcal{D}}(C_3 \oplus C_9) > 0$ ,
- $s_{\mathcal{D}}(C_5 \oplus C_5) = 0$  and  $s_{\mathcal{D}}(C_5 \oplus C_7) > 0$ , or
- $s_{\mathcal{D}}(C_5 \oplus C_5) = s_{\mathcal{D}}(C_5 \cup C_5) = 0$  and  $s_{\mathcal{D}}(C_5 \cup C_7) > 0$ ,

then  $\mathcal{D}$  is of Class II. Otherwise,  $\mathcal{D}$  is of Class I if  $s_{\mathcal{D}}(C_{12}) > 0$  and of Class III if  $s_{\mathcal{D}}(C_{12}) = 0$ .

Proof. Let  $\mathcal{D}$  be a 12-deck  $\mathcal{D}$  such that its 10-deck is of Class III,  $s_{\mathcal{D}}(P_2) > 0$ ,  $s_{\mathcal{D}}(C_3 \oplus C_3) = 0$ ,  $s_{\mathcal{D}}(C_3 \cup C_3) > 0$  and  $s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_3) > 0$ . Note that  $s_{\mathcal{D}}(C_\ell) = 0$  for  $\ell = 4, 6, 8, 10$  and  $s_{\mathcal{D}}(C_3 \oplus C_\ell) = 0$  for  $\ell = 5, 7$  by Corollary 24.

We first show that if  $\mathcal{D}$  satisfies one of the three conditions in the statement of the lemma, then  $\mathcal{D}$  is of Class II. In the first case, we apply Lemma 9 with k = 11,

m = 1, any  $\delta \in (0, 1)$  such that  $s_{\mathcal{D}}(C_{12})\delta \leq 1/2$ ,  $\gamma_3 = \gamma_5 = \gamma_7 = \gamma_9 = \gamma_{11} = 0$ ,  $\sigma_1 = 0, \tau_{1,3} = 1, \tau_{1,9} = -1$  and  $\tau_{1,5} = \tau_{1,7} = \tau_{1,11} = 0$  to get a non-zero kernel U that satisfies the properties listed in Lemma 9. Observe that  $s_{\mathcal{D}}(H) = 0$  or t(H, U) = 0 for a principal graph H with at most twelve edges unless H is an even cycle or  $H = C_3 \oplus C_9$ . In the second case, we apply Lemma 9 with k = 11, m = 1, any  $\delta \in (0, 1)$  such that  $s_{\mathcal{D}}(C_{12})\delta \leq 1/2$ ,  $\gamma_3 = \gamma_5 = \gamma_7 = \gamma_9 = \gamma_{11} = 0$ ,  $\sigma_1 = 0, \tau_{1,5} = 1, \tau_{1,7} = -1$  and  $\tau_{1,3} = \tau_{1,9} = \tau_{1,11} = 0$  and get a non-zero kernel U such that that  $s_{\mathcal{D}}(H) = 0$  or t(H, U) = 0 for a principal graph H with at most twelve edges unless H is an even cycle or  $H = C_5 \oplus C_7$ . Finally, in the third case, we apply Lemma 9 with k = 11, m = 0, any  $\delta \in (0, 1)$  such that  $s_{\mathcal{D}}(C_{12})\delta \leq 1/2$ ,  $\gamma_5 = 1, \gamma_7 = -1$  and  $\gamma_3 = \gamma_9 = \gamma_{11} = 0$  and obtain a non-zero kernel U such that that  $s_{\mathcal{D}}(H) = 0$  or t(H, U) = 0 for a principal graph H with at most twelve edges unless H is an even cycle or  $H = C_5 \oplus C_7$ . Finally, in the third case, we apply Lemma 9 with k = 11, m = 0, any  $\delta \in (0, 1)$  such that  $s_{\mathcal{D}}(C_{12})\delta \leq 1/2$ ,  $\gamma_5 = 1, \gamma_7 = -1$  and  $\gamma_3 = \gamma_9 = \gamma_{11} = 0$  and obtain a non-zero kernel U such that that  $s_{\mathcal{D}}(H) = 0$  or t(H, U) = 0 for a principal graph H with at most twelve edges unless H is an even cycle or  $H = C_5 \cup C_7$ . In each of the three cases, it holds that  $c_{\mathcal{D},2}^U = \cdots = c_{\mathcal{D},10}^U = 0$  and  $c_{\mathcal{D},12}^U \leq -1/2$ , i.e., the deck  $\mathcal{D}$  is of Class II.

We now prove that if the deck  $\mathcal{D}$  does not satisfy any of the three conditions in the statement of the lemma, then it is of Class I or Class III. Following the line of arguments presented in the proofs of Lemmas 16 and 21, it is enough to establish that the deck  $\mathcal{D}$  is of Class III when  $s_{\mathcal{D}}(C_{12}) = 0$ ; note that if  $s_{\mathcal{D}}(C_{12}) = 0$ , then the deck  $\mathcal{D}$  is not of Class I by Lemma 12.

Let U be an arbitrary non-zero kernel. The coefficient  $c_{\mathcal{D},2}^U$  is positive unless U is balanced. Hence, we can assume that U is balanced. This implies that  $c_{\mathcal{D},4}^U = 0, c_{\mathcal{D},6}^U \ge 0$  and the inequality is strict unless  $t(C_3, U) = 0$ . If  $t(C_3, U) = 0$ , then  $c_{\mathcal{D},8}^U \ge 0$  and the inequality is strict unless  $t_U^{C_3 \oplus P_1}(x) = 0$  for almost every  $x \in [0,1]$ . We next assume that  $c_{\mathcal{D},6}^U = 0$  and  $c_{\mathcal{D},8}^U = 0$  and observe that  $s_{\mathcal{D}}(H) = 0$  or t(H,U) = 0 for every principal graph H possibly with the following exceptions:  $C_5 \cup C_5, C_5 \oplus C_5, C_5 \oplus P_2 \oplus C_5, C_5 \cup C_7$  and  $C_5 \oplus C_7$  (note that  $s_{\mathcal{D}}(C_3 \oplus C_9) = 0$ ; otherwise, the first case in the statement of the lemma applies). It follows that  $c_{\mathcal{D},10}^U \ge 0$  and the equality holds only in the following three cases: both  $s_{\mathcal{D}}(C_5 \cup C_5)$  and  $s_{\mathcal{D}}(C_5 \oplus C_5)$  are zero, or  $s_{\mathcal{D}}(C_5 \oplus C_5)$  is zero and  $t(C_5, U) = 0$ , or  $t_U^{C_5}(x) = 0$  for almost every  $x \in [0, 1]$ . It is now straightforward to verify that if  $c_{\mathcal{D},10}^U = 0$  and none of the last two cases given in the statement of the lemma applies, then  $c_{\mathcal{D},12}^U = s_{\mathcal{D}}(C_5 \oplus P_2 \oplus C_5)t(C_5 \oplus P_2 \oplus C_5) \ge 0$ . We conclude that the deck  $\mathcal{D}$  is indeed of Class III.

The final five lemmas concern the last case described in Corollary 24; each deals with one of the four cases based on which of the two quantities  $s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3)$  and  $s_{\mathcal{D}}(C_5 \oplus C_5)$  are zero or positive; the final two lemmas deal with the case when both quantities are positive.

**Lemma 28.** Let  $\mathcal{D}$  be a 12-deck such that its 10-deck is of Class III,  $s_{\mathcal{D}}(P_2) > 0$ ,  $s_{\mathcal{D}}(C_3 \oplus C_3) = s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_3) = 0$  and  $s_{\mathcal{D}}(C_3 \cup C_3) > 0$ . Further suppose that  $s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3) = s_{\mathcal{D}}(C_5 \oplus C_5) = s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5) = 0$ . If

•  $s_{\mathcal{D}}(C_3 \oplus C_9) > 0$ ,

![](_page_33_Figure_0.jpeg)

Figure 9: The exceptional graphs in the proof of Lemma 28.

- $s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_7) > 0$ ,
- $4s_{\mathcal{D}}(C_3 \oplus P_6 \oplus C_3)s_{\mathcal{D}}(C_5 \oplus P_2 \oplus C_5) < s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_5)^2$ ,
- $s_{\mathcal{D}}(C_5 \oplus C_7) > 0$ ,
- $s_{\mathcal{D}}(C_5 \cup C_5) = 0$  and  $s_{\mathcal{D}}(C_5 \cup C_3 \oplus P_1 \oplus C_3) > 0$ , or
- $s_{\mathcal{D}}(C_5 \cup C_5) = 0$  and  $s_{\mathcal{D}}(C_5 \cup C_7) > 0$ ,

then  $\mathcal{D}$  is of Class II. Otherwise,  $\mathcal{D}$  is of Class I if  $s_{\mathcal{D}}(C_{12}) > 0$  and of Class III if  $s_{\mathcal{D}}(C_{12}) = 0$ .

*Proof.* Fix a 12-deck  $\mathcal{D}$  with the properties as supposed in the statement of the lemma. Note that  $s_{\mathcal{D}}(C_{\ell}) = 0$  for  $\ell = 4, 6, 8, 10$  and  $s_{\mathcal{D}}(C_3 \oplus C_{\ell}) = 0$  for  $\ell = 5, 7$  by Corollary 24. This together with the assumptions of the lemma implies that  $s_{\mathcal{D}}(H) = 0$  for all principal graphs H with at most twelve edges with the following exceptions:  $C_3 \cup C_3, C_3 \cup C_5, C_3 \cup C_7, C_5 \cup C_5, C_3 \cup C_3 \oplus P_1 \oplus C_3, C_{12}, C_3 \cup C_3 \cup C_3 \cup C_3, C_3 \cup C_3 \oplus C_3 \cup C_3 \oplus C_3 \cup C_3 \oplus C_3 \cup C_3 \oplus C_3$ 

 $C_3 \cup C_3 \oplus P_3 \oplus C_3, C_3 \cup C_3 \oplus P_1 \oplus C_5, C_3 \cup C_9, C_5 \cup C_3 \oplus P_1 \oplus C_3, C_5 \cup C_7, C_3 \oplus P_6 \oplus C_3, C_3 \oplus P_4 \oplus C_5, C_3 \oplus P_2 \oplus C_7, C_3 \oplus C_9, C_5 \oplus P_2 \oplus C_5 \text{ and } C_5 \oplus C_7.$ The graphs are depicted in Figure 9.

We first show that if the deck  $\mathcal{D}$  satisfies one of the six conditions in the statement of the lemma, then  $\mathcal{D}$  is of Class II. We will construct four different kernels using Lemma 9. First, we apply Lemma 9 with k = 11, m = 1, any  $\delta \in (0, 1/2)$  such that  $s_{\mathcal{D}}(C_{12})\delta \leq 1/2$ ,  $\gamma_3 = \gamma_5 = \gamma_7 = \gamma_9 = \gamma_{11} = 0$ ,  $\sigma_1 = \sqrt{\delta}$  (note that  $\sigma_1^{12} \leq \delta/2$ ),  $\tau_{1,3} = 1$ ,  $\tau_{1,7} = \tau_{1,9} = -(1+s_{\mathcal{D}}(C_{12})+s_{\mathcal{D}}(C_3 \oplus P_6 \oplus C_3))\delta^{-1}$  and  $\tau_{1,5} = \tau_{1,11} = 0$  to obtain a non-zero kernel U with the properties given in Lemma 9. Observe that t(H, U) = 0 for the graphs depicted in Figure 9 unless H is  $C_{12}, C_3 \oplus P_6 \oplus C_3, C_3 \oplus P_2 \oplus C_7$  or  $C_3 \oplus C_9$ . It follows that  $c_{\mathcal{D},2}^U = \cdots = c_{\mathcal{D},10}^U = 0$  and,

$$\begin{aligned} c_{\mathcal{D},12}^{U} &= \sum_{H, \|H\|=12} s_{\mathcal{D}}(H) t(H, U) \\ &\leq \sigma_{1}^{6} \tau_{1,3}^{2} s_{\mathcal{D}}(C_{3} \oplus P_{6} \oplus C_{3}) + \sigma_{1}^{2} \tau_{1,3} \tau_{1,7} s_{\mathcal{D}}(C_{3} \oplus P_{2} \oplus C_{7}) \\ &+ \tau_{1,3} \tau_{1,9} s_{\mathcal{D}}(C_{3} \oplus C_{9}) + \delta s_{\mathcal{D}}(C_{12}) \\ &\leq s_{\mathcal{D}}(C_{3} \oplus P_{6} \oplus C_{3}) - (1 + s_{\mathcal{D}}(C_{12}) + s_{\mathcal{D}}(C_{3} \oplus P_{6} \oplus C_{3})) + s_{\mathcal{D}}(C_{12}) \\ &\leq -1 \,. \end{aligned}$$

Hence, the deck  $\mathcal{D}$  is of Class II if the first or second condition in the statement applies.

We next analyze the case when the third condition applies. If  $4s_{\mathcal{D}}(C_3 \oplus P_6 \oplus C_3)s_{\mathcal{D}}(C_5 \oplus P_2 \oplus C_5) < s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_5)^2$ , then there exists a vector  $(z_3, z_5) \in \mathbb{R}^2$  such that

$$\begin{pmatrix} z_3 \\ z_5 \end{pmatrix}^T \begin{pmatrix} s_{\mathcal{D}}(C_3 \oplus P_6 \oplus C_3) & \frac{s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_5)}{2} \\ \frac{s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_5)}{2} & s_{\mathcal{D}}(C_5 \oplus P_2 \oplus C_5) \end{pmatrix} \begin{pmatrix} z_3 \\ z_5 \end{pmatrix} = -1.$$

We apply Lemma 9 with k = 11, m = 1, any  $\delta \in (0, 1)$  such that  $s_{\mathcal{D}}(C_{12})\delta \leq 1/2$ ,  $\gamma_3 = \gamma_5 = \gamma_7 = \gamma_9 = \gamma_{11} = 0$ ,  $\sigma_1 = \delta/2$ ,  $\tau_{1,3} = 8z_3/\delta^3$ ,  $\tau_{1,5} = 2z_5/\delta$  and  $\tau_{1,7} = \tau_{1,9} = \tau_{1,11} = 0$  to get a non-zero kernel U with the properties given in Lemma 9. Let  $f_1$  be the eigenfunction associated with the eigenvalue  $\sigma_1$  and note that  $t_U^{C_3 \oplus P_3}(x) = \sigma_1^3 \tau_{1,3} f_1(x) = z_3 f_1(x)$  and  $t_U^{C_5 \oplus P_1}(x) = \sigma_1 \tau_{1,5} f_1(x) = z_5 f_1(x)$ . Observe that t(H, U) = 0 for the graphs depicted in Figure 9 unless H is  $C_{12}$ ,  $C_3 \oplus P_6 \oplus C_3$ ,  $C_3 \oplus P_4 \oplus C_5$  or  $C_5 \oplus P_2 \oplus C_5$ . It follows that  $c_{\mathcal{D},2}^U = \cdots = c_{\mathcal{D},10}^U = 0$ and

$$c_{\mathcal{D},12}^{U} = s_{\mathcal{D}}(C_{12})t(C_{12}, U) + \int_{[0,1]} {\binom{z_3}{z_5}}^T \begin{pmatrix} s_{\mathcal{D}}(C_3 \oplus P_6 \oplus C_3) & \frac{s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_5)}{2} \\ \frac{s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_5)}{2} & s_{\mathcal{D}}(C_5 \oplus P_2 \oplus C_5) \end{pmatrix} {\binom{z_3}{z_5}} f_1(x)^2 \,\mathrm{d}x,$$

which is at most -1/2. Hence, the deck  $\mathcal{D}$  is of Class II.

If the fourth condition in the statement holds, we apply Lemma 9 with k = 11, m = 1, any  $\delta \in (0, 1)$  such that  $s_{\mathcal{D}}(C_{12})\delta \leq 1/2$ ,  $\gamma_3 = \gamma_5 = \gamma_7 = \gamma_9 = \gamma_{11} = 0$ ,  $\sigma_1 = 0$ ,  $\tau_{1,3} = \tau_{1,9} = \tau_{1,11} = 0$ ,  $\tau_{1,5} = 1$  and  $\tau_{1,7} = -1$  to obtain a non-zero kernel U with the properties given in Lemma 9. Observe that t(H, U) = 0 for the graphs depicted in Figure 9 unless H is  $C_{12}$  or  $C_5 \oplus C_7$ . It follows that  $c_{\mathcal{D},2}^U = \cdots = c_{\mathcal{D},10}^U = 0$ , and  $c_{\mathcal{D},12}^U \leq -1/2$ , so we again conclude that the 12-deck  $\mathcal{D}$  is of Class II.

It remains to analyze the last two conditions given in the statement of the lemma. We apply Lemma 9 with k = 11, m = 1, any  $\delta \in (0, 1/2)$  such that  $s_{\mathcal{D}}(C_{12})\delta \leq 1/2$ ,  $\gamma_3 = \gamma_9 = \gamma_{11} = 0$ ,  $\gamma_5 = -(1 + s_{\mathcal{D}}(C_{12}) + s_{\mathcal{D}}(C_3 \oplus P_6 \oplus C_3))\delta^{-1}$ ,  $\gamma_7 = 1$ ,  $\sigma_1 = \delta$ ,  $\tau_{1,3} = 1$  and  $\tau_{1,5} = \tau_{1,7} = \tau_{1,9} = \tau_{1,11} = 0$  to get a non-zero kernel U with the properties given in Lemma 9. Observe that t(H, U) = 0 for the graphs depicted in Figure 9 unless H is  $C_{12}, C_5 \cup C_5, C_5 \cup C_3 \oplus P_1 \oplus C_3, C_5 \cup C_7$  or  $C_3 \oplus P_6 \oplus C_3$ . It follows that  $c_{\mathcal{D},2}^U = \cdots = c_{\mathcal{D},10}^U = 0$  (note that  $s_{\mathcal{D}}(C_5 \cup C_5) = 0$ ) and,

$$\begin{aligned} c_{\mathcal{D},12}^{U} &= \sum_{H, \|H\|=12} s_{\mathcal{D}}(H) t(H, U) \\ &\leq \sigma_{1}^{6} \tau_{1,3}^{2} s_{\mathcal{D}}(C_{3} \oplus P_{6} \oplus C_{3}) + \sigma_{1} \gamma_{5} \tau_{1,3}^{2} s_{\mathcal{D}}(C_{5} \cup C_{3} \oplus P_{1} \oplus C_{3}) \\ &+ \gamma_{5} \gamma_{7} s_{\mathcal{D}}(C_{5} \cup C_{7}) + \delta s_{\mathcal{D}}(C_{12}) \\ &\leq s_{\mathcal{D}}(C_{3} \oplus P_{6} \oplus C_{3}) - (1 + s_{\mathcal{D}}(C_{12}) + s_{\mathcal{D}}(C_{3} \oplus P_{6} \oplus C_{3})) + s_{\mathcal{D}}(C_{12}) \\ &\leq -1 \,. \end{aligned}$$

We conclude that the deck  $\mathcal{D}$  is of Class II.

We now prove that if the deck  $\mathcal{D}$  does not satisfy any of the six conditions in the statement of the lemma, then it is of Class I or Class III. Following the line of arguments presented in the proofs of Lemmas 16 and 21, it is enough to establish that the deck  $\mathcal{D}$  is of Class III when  $s_{\mathcal{D}}(C_{12}) = 0$ ; note that if  $s_{\mathcal{D}}(C_{12}) = 0$ , then the deck  $\mathcal{D}$  is not of Class I by Lemma 12.

Let U be an arbitrary non-zero kernel. The coefficient  $c_{\mathcal{D},2}^U$  is positive unless U is balanced. Hence, we can assume that U is balanced. Inspecting the graphs in Figure 9, we obtain that  $c_{\mathcal{D},4}^U = 0$  and  $c_{\mathcal{D},6}^U \ge 0$ , and the equality holds only if  $t(C_3 \cup C_3, U) = t(C_3, U)^2 = 0$  since  $s_{\mathcal{D}}(C_3 \cup C_3) > 0$ . Hence, we can assume that  $t(C_3, U) = 0$  in the rest. We next obtain, again inspecting the graphs in Figure 9, that  $c_{\mathcal{D},8}^U = 0$  and  $c_{\mathcal{D},10}^U \ge 0$ , and the equality can hold only if  $s_{\mathcal{D}}(C_5 \cup C_5) = 0$  or  $t(C_5, U) = 0$ ; if  $s_{\mathcal{D}}(C_5 \cup C_5) = 0$  or  $t(C_5, U) = 0$ , it holds that  $s_{\mathcal{D}}(H)t(H, U) = 0$  if H is  $C_5 \cup C_3 \oplus P_1 \oplus C_3$  or  $C_5 \cup C_7$  (here, we use that  $\mathcal{D}$  does not satisfy the last two conditions in the statement of the lemma). In particular, if  $c_{\mathcal{D},10}^U = 0$ , then  $s_{\mathcal{D}}(H)t(H, U)$  can be non-zero only for the following three principal 12-edge graphs:  $C_3 \oplus P_6 \oplus C_3, C_3 \oplus P_4 \oplus C_5$  and  $C_5 \oplus P_2 \oplus C_5$ . It follows that

$$c_{\mathcal{D},12}^{U} = \int_{[0,1]} \begin{pmatrix} t_{U}^{C_{3}\oplus P_{3}}(x) \\ t_{U}^{C_{5}\oplus P_{1}}(x) \end{pmatrix}^{T} \begin{pmatrix} s_{\mathcal{D}}(C_{3}\oplus P_{6}\oplus C_{3}) & \frac{s_{\mathcal{D}}(C_{3}\oplus P_{4}\oplus C_{5})}{2} \\ \frac{s_{\mathcal{D}}(C_{3}\oplus P_{4}\oplus C_{5})}{2} & s_{\mathcal{D}}(C_{5}\oplus P_{2}\oplus C_{5}) \end{pmatrix} \begin{pmatrix} t_{U}^{C_{3}\oplus P_{3}}(x) \\ t_{U}^{C_{5}\oplus P_{1}}(x) \end{pmatrix} \mathrm{d}x,$$

which is non-negative since the matrix is positive semidefinite (here, we use that  $4s_{\mathcal{D}}(C_3 \oplus P_6 \oplus C_3)s_{\mathcal{D}}(C_5 \oplus P_2 \oplus C_5) \ge s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_5)^2$ ). We conclude that the deck  $\mathcal{D}$  is of Class III.

**Lemma 29.** Let  $\mathcal{D}$  be a 12-deck such that its 10-deck is of Class III,  $s_{\mathcal{D}}(P_2) > 0$ ,  $s_{\mathcal{D}}(C_3 \oplus C_3) = s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_3) = 0$  and  $s_{\mathcal{D}}(C_3 \cup C_3) > 0$ . Further suppose that  $s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3) = s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5) = 0$  and  $s_{\mathcal{D}}(C_5 \oplus C_5) > 0$ . If  $s_{\mathcal{D}}(C_3 \oplus C_9) > 0$ or  $s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_7) > 0$ , then  $\mathcal{D}$  is of Class II. Otherwise,  $\mathcal{D}$  is of Class I if  $s_{\mathcal{D}}(C_{12}) > 0$  and of Class III if  $s_{\mathcal{D}}(C_{12}) = 0$ .

Proof. Fix a 12-deck  $\mathcal{D}$  with the properties as supposed in the statement of the lemma. Note that  $s_{\mathcal{D}}(C_{\ell}) = 0$  for  $\ell = 4, 6, 8, 10$  and  $s_{\mathcal{D}}(C_3 \oplus C_{\ell}) = 0$  for  $\ell = 5, 7$ by Corollary 24. We first show that if  $s_{\mathcal{D}}(C_3 \oplus C_9) > 0$  or  $s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_7) > 0$ , then  $\mathcal{D}$  is of Class II. We use the same application of Lemma 9 as we did in the proof of Lemma 28 with one of the first two conditions, that is we apply Lemma 9 with k = 11, m = 1, any  $\delta \in (0, 1/2)$  such that  $s_{\mathcal{D}}(C_{12})\delta \leq 1/2$ ,  $\gamma_3 = \gamma_5 = \gamma_7 = \gamma_9 = \gamma_{11} = 0$ ,  $\sigma_1 = \sqrt{\delta}$  (note that  $\sigma_1^{12} \leq \delta/2$ ),  $\tau_{1,3} = 1$ ,  $\tau_{1,7} = \tau_{1,9} = -(1 + s_{\mathcal{D}}(C_{12}) + s_{\mathcal{D}}(C_3 \oplus P_6 \oplus C_3))\delta^{-1}$  and  $\tau_{1,5} = \tau_{1,11} = 0$ . The same calculations prove that the 12-deck  $\mathcal{D}$  is of Class II if  $s_{\mathcal{D}}(C_3 \oplus C_9) > 0$  or  $s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_7) > 0$ .

We now prove that if  $s_{\mathcal{D}}(C_3 \oplus C_9) = 0$  and  $s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_7) = 0$ , then the deck  $\mathcal{D}$  satisfying the assumptions of the lemma is of Class I or Class III. Following the line of arguments presented in the proofs of Lemmas 16 and 21, it is enough to establish that the deck  $\mathcal{D}$  is of Class III when  $s_{\mathcal{D}}(C_{12}) = 0$ ; note that if  $s_{\mathcal{D}}(C_{12}) = 0$ , then the deck  $\mathcal{D}$  is not of Class I by Lemma 12.

Let U be an arbitrary non-zero kernel. The coefficient  $c_{\mathcal{D},2}^U$  is positive unless Uis balanced. Hence, we can assume that U is balanced. It follows that  $c_{\mathcal{D},4}^U = 0$  and  $c_{\mathcal{D},6}^U = s_{\mathcal{D}}(C_3 \cup C_3)t(C_3, U)^2$ . In particular, either  $c_{\mathcal{D},6}^U$  is positive or  $t(C_3, U) = 0$ ; we focus on the latter case in the rest of the proof. Observe that every principal graph H with at most ten edges satisfies that  $s_{\mathcal{D}}(H) = 0$  or t(H, U) = 0 unless His  $C_5 \cup C_5$  or  $C_5 \oplus C_5$ . It follows that  $c_{\mathcal{D},8}^U = 0$  and  $c_{\mathcal{D},10}^U \ge s_{\mathcal{D}}(C_5 \oplus C_5)t(C_5 \oplus C_5, U)$ . In particular, the coefficient  $c_{\mathcal{D},10}^U$  is positive unless  $t_U^{C_5}(x) = 0$  for almost every  $x \in [0, 1]$ . If  $t_U^{C_5}(x) = 0$  for almost every  $x \in [0, 1]$ , then  $s_{\mathcal{D}}(H) = 0$  or t(H, U) = 0for every 12-edge principal graph H different from  $C_3 \oplus P_6 \oplus C_3$ . It follows that if  $c_{\mathcal{D},10}^U = 0$ , then  $c_{\mathcal{D},12}^U = s_{\mathcal{D}}(C_3 \oplus P_6 \oplus C_3)t(C_3 \oplus P_6 \oplus C_3, U) \ge 0$ . We conclude that the 12-deck  $\mathcal{D}$  is of Class III.

**Lemma 30.** Let  $\mathcal{D}$  be a 12-deck such that its 10-deck is of Class III,  $s_{\mathcal{D}}(P_2) > 0$ ,  $s_{\mathcal{D}}(C_3 \oplus C_3) = s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_3) = 0$  and  $s_{\mathcal{D}}(C_3 \cup C_3) > 0$ . Further suppose that  $s_{\mathcal{D}}(C_5 \oplus C_5) = s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5) = 0$  and  $s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3) > 0$ . If

- $s_{\mathcal{D}}(C_3 \oplus C_9) > 0$ ,
- $s_{\mathcal{D}}(C_5 \oplus C_7) > 0$ , or

•  $s_{\mathcal{D}}(C_5 \cup C_5) = 0$  and  $s_{\mathcal{D}}(C_5 \cup C_7) > 0$ ,

then  $\mathcal{D}$  is of Class II. Otherwise,  $\mathcal{D}$  is of Class I if  $s_{\mathcal{D}}(C_{12}) > 0$  and of Class III if  $s_{\mathcal{D}}(C_{12}) = 0$ .

Proof. Fix a 12-deck  $\mathcal{D}$  with the properties as supposed in the statement of the lemma. Note that  $s_{\mathcal{D}}(C_{\ell}) = 0$  for  $\ell = 4, 6, 8, 10$  and  $s_{\mathcal{D}}(C_3 \oplus C_{\ell}) = 0$  for  $\ell = 5, 7$  by Corollary 24. We first show that if  $s_{\mathcal{D}}(C_3 \oplus C_9) > 0$  or  $s_{\mathcal{D}}(C_5 \oplus C_7) > 0$ , then  $\mathcal{D}$  is of Class II. Apply Lemma 9 with k = 11, m = 1, any  $\delta \in (0, 1)$  such that  $s_{\mathcal{D}}(C_{12})\delta \leq 1/2, \gamma_3 = \gamma_5 = \gamma_7 = \gamma_9 = \gamma_{11} = 0, \sigma_1 = 0, \tau_{1,3} = \tau_{1,5} = 1, \tau_{1,7} = \tau_{1,9} = -1$  and  $\tau_{1,11} = 0$ , to get a non-zero kernel U with the properties listed in Lemma 9. Every principal graph H with at most twelve edges satisfies that  $s_{\mathcal{D}}(H) = 0$  or t(H, U) = 0 unless H is  $C_{12}, C_3 \oplus C_9$  or  $C_5 \oplus C_7$ . Hence, it holds that  $c_{\mathcal{D},2}^U = \cdots = c_{\mathcal{D},10}^U = 0$  and  $c_{\mathcal{D},12}^U \leq -1/2$ , which yields that the 12-deck  $\mathcal{D}$  is of Class II.

We next show that if  $s_{\mathcal{D}}(C_5 \cup C_5) = 0$  and  $s_{\mathcal{D}}(C_5 \cup C_7) > 0$ , then  $\mathcal{D}$  is also of Class II. Apply Lemma 9 with k = 11, m = 0, any  $\delta \in (0, 1)$  such that  $s_{\mathcal{D}}(C_{12})\delta \leq 1/2$ ,  $\gamma_5 = 1$ ,  $\gamma_7 = -1$ ,  $\gamma_3 = \gamma_9 = \gamma_{11} = 0$ , to get a non-zero kernel U with the properties listed in Lemma 9. Every principal graph H with at most twelve edges satisfies that  $s_{\mathcal{D}}(H) = 0$  or t(H, U) = 0 unless H is  $C_{12}$  or  $C_5 \cup C_7$ . It follows that  $c_{\mathcal{D},2}^U = \cdots = c_{\mathcal{D},10}^U = 0$  and  $c_{\mathcal{D},12}^U \leq -1/2$  and we again conclude that the 12-deck  $\mathcal{D}$  is of Class II.

We now prove that if the deck  $\mathcal{D}$  does not satisfy any of the three conditions in the statement of the lemma, then it is of Class I or Class III. Following the line of arguments presented in the proofs of Lemmas 16 and 21, it is enough to establish that the deck  $\mathcal{D}$  is of Class III when  $s_{\mathcal{D}}(C_{12}) = 0$ ; note that if  $s_{\mathcal{D}}(C_{12}) = 0$ , then the deck  $\mathcal{D}$  is not of Class I by Lemma 12.

Let U be an arbitrary non-zero kernel. The coefficient  $c_{\mathcal{D},2}^U$  is positive unless U is balanced. So, we can assume that U is balanced. It follows that  $c_{\mathcal{D},4}^U = 0$  and  $c_{\mathcal{D},6}^U = s_{\mathcal{D}}(C_3 \cup C_3)t(C_3 \cup C_3, U) \ge 0$  and the equality holds only if  $t(C_3, U) = 0$ . Hence, we assume that  $t(C_3, U) = 0$  in the rest of the proof. It follows that  $c_{\mathcal{D},8}^U = 0$  and

$$c_{\mathcal{D},10}^{U} = s_{\mathcal{D}}(C_{5} \cup C_{5})t(C_{5} \cup C_{5}, U) + s_{\mathcal{D}}(C_{3} \oplus P_{4} \oplus C_{3})t(C_{3} \oplus P_{4} \oplus C_{3}, U).$$

In particular,  $c_{\mathcal{D},10}^U$  is non-negative and if  $c_{\mathcal{D},10}^U = 0$ , then  $t_U^{C_3 \oplus P_2}(x) = 0$  for almost every  $x \in [0,1]$  and either  $s_{\mathcal{D}}(C_5 \cup C_5) = 0$  or  $t(C_5, U) = 0$  or both. Since  $t_U^{C_3 \oplus P_2} = U^2 t_U^{C_3}$  and  $t_U^{C_3 \oplus P_1} = U t_U^{C_3}$ , it follows that  $t_U^{C_3 \oplus P_1}(x) = 0$  for almost every  $x \in [0,1]$ . Hence, if  $c_{\mathcal{D},10}^U = 0$  and none of the three conditions in the statement of the lemma applies, we get that  $s_{\mathcal{D}}(H) = 0$  or t(H, U) = 0 for every 12-edge principal graph H unless H is  $C_5 \oplus P_2 \oplus C_5$ . It follows that  $c_{\mathcal{D},12}^U \ge 0$  and so the 12-deck  $\mathcal{D}$  is of Class III.

The final two lemmas analyze the case when the quantity  $4s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3)s_{\mathcal{D}}(C_5 \oplus C_5) - s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)^2$  is non-negative and both  $s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3)$ and  $s_{\mathcal{D}}(C_5 \oplus C_5)$  are positive. **Lemma 31.** Let  $\mathcal{D}$  be a 12-deck such that its 10-deck is of Class III,  $s_{\mathcal{D}}(P_2) > 0$ ,  $s_{\mathcal{D}}(C_3 \oplus C_3) = s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_3) = 0$  and  $s_{\mathcal{D}}(C_3 \cup C_3) > 0$ . Further suppose that  $s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3) > 0$ ,  $s_{\mathcal{D}}(C_5 \oplus C_5) > 0$  and  $4s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3)s_{\mathcal{D}}(C_5 \oplus C_5) = s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)^2$ . If

•  $s_{\mathcal{D}}(C_3 \oplus C_9) > 0$ ,

• 
$$-2s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_7)s_{\mathcal{D}}(C_5 \oplus C_5) + s_{\mathcal{D}}(C_5 \oplus C_7)s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5) \neq 0,$$

then  $\mathcal{D}$  is of Class II. Otherwise,  $\mathcal{D}$  is of Class I if  $s_{\mathcal{D}}(C_{12}) > 0$  and of Class III if  $s_{\mathcal{D}}(C_{12}) = 0$ .

Proof. Fix a 12-deck  $\mathcal{D}$  with the properties as supposed in the statement of the lemma. Note that  $s_{\mathcal{D}}(C_{\ell}) = 0$  for  $\ell = 4, 6, 8, 10$  and  $s_{\mathcal{D}}(C_3 \oplus C_{\ell}) = 0$  for  $\ell = 5, 7$  by Corollary 24. This together with the assumptions of the lemma implies that  $s_{\mathcal{D}}(H) = 0$  for all principal graphs H with at most twelve edges with the following exceptions:  $C_3 \cup C_3, C_3 \cup C_5, C_3 \cup C_7, C_5 \cup C_5, C_3 \cup C_3 \oplus P_1 \oplus C_3, C_3 \oplus P_4 \oplus C_3, C_3 \oplus P_2 \oplus C_5, C_5 \oplus C_5, C_{12}, C_3 \cup C_3 \cup C_3 \cup C_3, C_3 \oplus P_3 \oplus C_3, C_3 \cup C_3 \oplus P_1 \oplus C_5, C_3 \cup C_9, C_5 \cup C_3 \oplus P_1 \oplus C_3, C_5 \cup C_7, C_3 \oplus P_6 \oplus C_3, C_3 \oplus P_4 \oplus C_5, C_3 \oplus P_2 \oplus C_5, C_3 \oplus C_5 \oplus C_5 \oplus C_7$ . The graphs are depicted in Figure 10. Also note that the matrix

$$\begin{pmatrix} s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3) & \frac{s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)}{2} \\ \frac{s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)}{2} & s_{\mathcal{D}}(C_5 \oplus C_5) \end{pmatrix}$$

has rank one and so there exists a non-zero vector  $(z_3, z_5) \in \mathbb{R}^2$  such that

$$\begin{pmatrix} s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3) & \frac{s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)}{2} \\ \frac{s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)}{2} & s_{\mathcal{D}}(C_5 \oplus C_5) \end{pmatrix} \begin{pmatrix} z_3 \\ z_5 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}.$$

Note that the vectors  $(z_3, z_5)$  and  $\left(-s_{\mathcal{D}}(C_5 \oplus C_5), \frac{s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)}{2}\right)$  are non-zero multiples of each other, in particular, both  $z_3 \neq 0$  and  $z_5 \neq 0$ . By multiplying  $(z_3, z_5)$  by a suitable constant, we may assume without loss of generality that

$$\begin{pmatrix} z_3 \\ z_5 \end{pmatrix}^T \begin{pmatrix} s_{\mathcal{D}}(C_3 \oplus P_6 \oplus C_3) & \frac{s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_5)}{2} \\ \frac{s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_5)}{2} & s_{\mathcal{D}}(C_5 \oplus P_2 \oplus C_5) \end{pmatrix} \begin{pmatrix} z_3 \\ z_5 \end{pmatrix}$$

is equal to -1, 0 or +1.

We first show that if  $s_{\mathcal{D}}(C_3 \oplus C_9) > 0$ , then  $\mathcal{D}$  is of Class II. Apply Lemma 9 with k = 11, m = 1, any  $\delta \in (0, 1)$  such that  $s_{\mathcal{D}}(C_{12})\delta \leq 1/2$ ,  $\gamma_3 = \gamma_5 = \gamma_7 = \gamma_9 = \gamma_{11} = 0$ ,  $\sigma_1 = 0$ ,  $\tau_{1,3} = 1$ ,  $\tau_{1,5} = \tau_{1,7} = \tau_{1,11} = 0$  and  $\tau_{1,9} = -1$  to get a

![](_page_39_Figure_0.jpeg)

Figure 10: The exceptional graphs in the proof of Lemma 31.

non-zero kernel U with the properties listed in Lemma 9. Every principal graph H with at most twelve edges satisfies that  $s_{\mathcal{D}}(H) = 0$  or t(H, U) = 0 unless H is  $C_{12}$  or  $C_3 \oplus C_9$ . Hence, it holds that  $c_{\mathcal{D},2}^U = \cdots = c_{\mathcal{D},10}^U = 0$  and  $c_{\mathcal{D},12}^U \leq -1/2$ , which yields that the 12-deck  $\mathcal{D}$  is of Class II.

In the second and third cases described in the statement of the lemma, we apply Lemma 9 with k = 11, m = 1, any  $\delta \in (0, 1/2)$  such that  $s_{\mathcal{D}}(C_{12})\delta \leq 1/2$ ,  $\gamma_3 = \gamma_5 = \gamma_7 = \gamma_9 = \gamma_{11} = 0$ ,  $\sigma_1 = \delta^{1/4}$ ,  $\tau_{1,3} = z_3/\delta^{1/2}$ ,  $\tau_{1,5} = z_5$ ,  $\tau_{1,9} = \tau_{1,11} = 0$ , and  $\tau_{1,7} = -2\delta^{1/2}(z_3s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_7) + z_5s_{\mathcal{D}}(C_5 \oplus C_7))^{-1}$  if  $z_3s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_7) + z_5s_{\mathcal{D}}(C_5 \oplus C_7)$  is non-zero, and  $\tau_{1,7} = 0$ , otherwise, to get a non-zero kernel U with the properties listed in Lemma 9. Let  $f_1$  be the eigenfunction of U associated with  $\sigma_1$ . Every principal graph H with at most twelve edges satisfies that  $s_{\mathcal{D}}(H) = 0$  or t(H, U) = 0 unless H is  $C_3 \oplus P_4 \oplus C_3$ ,  $C_3 \oplus P_2 \oplus C_5$ ,  $C_5 \oplus C_5$ ,  $C_{12}$ ,  $C_3 \oplus P_6 \oplus C_3$ ,  $C_3 \oplus P_4 \oplus C_5$ ,  $C_5 \oplus P_2 \oplus C_5$ ,  $C_3 \oplus P_2 \oplus C_7$ and  $C_5 \oplus C_7$ . It follows that  $c_{\mathcal{D},2}^U = \cdots = c_{\mathcal{D},8}^U = 0$  and

$$c_{\mathcal{D},10}^{U} = \int_{[0,1]} {\binom{t_{U}^{C_{3} \oplus P_{2}}(x)}{t_{U}^{C_{5}}(x)}}^{T} {\binom{s_{\mathcal{D}}(C_{3} \oplus P_{4} \oplus C_{3})}{\frac{s_{\mathcal{D}}(C_{3} \oplus P_{2} \oplus C_{5})}{2}} \frac{\frac{s_{\mathcal{D}}(C_{3} \oplus P_{2} \oplus C_{5})}{2}}{s_{\mathcal{D}}(C_{5} \oplus C_{5})} {\binom{t_{U}^{C_{3} \oplus P_{2}}(x)}{t_{U}^{C_{5}}(x)}} dx$$
$$= \int_{[0,1]} {\binom{z_{3}}{z_{5}}}^{T} {\binom{s_{\mathcal{D}}(C_{3} \oplus P_{4} \oplus C_{3})}{\frac{s_{\mathcal{D}}(C_{3} \oplus P_{2} \oplus C_{5})}{2}}} \frac{\frac{s_{\mathcal{D}}(C_{3} \oplus P_{2} \oplus C_{5})}{2}}{s_{\mathcal{D}}(C_{5} \oplus C_{5})} {\binom{z_{3}}{z_{5}}} f_{1}(x)^{2} dx = 0.$$

We next analyze the coefficient  $c_{\mathcal{D},12}^U$ . First note that the sum of the terms  $s_{\mathcal{D}}(H)t(H,U)$  for H being one of the graphs  $C_3 \oplus P_6 \oplus C_3$ ,  $C_3 \oplus P_4 \oplus C_5$  and  $C_5 \oplus P_2 \oplus C_5$  is equal to

$$B_1 = \int_{[0,1]} \begin{pmatrix} z_3 \\ z_5 \end{pmatrix}^T \begin{pmatrix} s_{\mathcal{D}}(C_3 \oplus P_6 \oplus C_3) & \frac{s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_5)}{2} \\ \frac{s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_5)}{2} & s_{\mathcal{D}}(C_5 \oplus P_2 \oplus C_5) \end{pmatrix} \begin{pmatrix} z_3 \\ z_5 \end{pmatrix} \sigma_1^2 f_1(x)^2 \, \mathrm{d}x.$$

Observe that  $B_1 = \delta^{1/2}$  if A > 0,  $B_1 = 0$  if A = 0 and  $B_1 = -\delta^{1/2}$  if A < 0. The sum of the terms  $s_{\mathcal{D}}(H)t(H,U)$  for H being  $C_3 \oplus P_2 \oplus C_7$  and  $C_5 \oplus C_7$  is equal to

$$B_2 = \int_{[0,1]} (s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_7) z_3 + s_{\mathcal{D}}(C_5 \oplus C_7) z_5) \tau_{1,7} f_1(x)^2 \, \mathrm{d}x.$$

If  $z_3 s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_7) + z_5 s_{\mathcal{D}}(C_5 \oplus C_7)$  is non-zero, then  $B_2 = -2\delta^{1/2}$ ; otherwise,  $B_2 = 0$ . Since  $s_{\mathcal{D}}(C_{12})t(C_{12},U) \leq \delta$ , it follows that  $c_{\mathcal{D},12}^U \leq B_1 + B_2 + \delta \leq -\delta^{1/2} + \delta < 0$  and so the 12-deck  $\mathcal{D}$  is of Class II.

We now prove that if the deck  $\mathcal{D}$  does not satisfy any of the three conditions in the statement of the lemma, then it is of Class I or Class III. Following the line of arguments presented in the proofs of Lemmas 16 and 21, it is enough to establish that the deck  $\mathcal{D}$  is of Class III when  $s_{\mathcal{D}}(C_{12}) = 0$ ; note that if  $s_{\mathcal{D}}(C_{12}) = 0$ , then the deck  $\mathcal{D}$  is not of Class I by Lemma 12.

Let U be an arbitrary non-zero kernel. The coefficient  $c_{\mathcal{D},2}^U$  is positive unless U is balanced. So, we can assume that U is balanced. It follows that  $c_{\mathcal{D},4}^U = 0$  and

 $c_{\mathcal{D},6}^U = s_{\mathcal{D}}(C_3 \cup C_3)t(C_3 \cup C_3, U) \geq 0$  and the equality holds only if  $t(C_3, U) = 0$ . Hence, we assume that  $t(C_3, U) = 0$  in the rest of the proof. This implies that  $c_{\mathcal{D},8}^U = 0$ . Observe next that

$$c_{\mathcal{D},10}^{U} = s_{\mathcal{D}}(C_{5} \cup C_{5})t(C_{5} \cup C_{5}, U) + \int_{[0,1]} \left( t_{U}^{C_{3} \oplus P_{2}}(x) \atop t_{U}^{C_{5}}(x) \right)^{T} \left( s_{\mathcal{D}}(C_{3} \oplus P_{4} \oplus C_{3}) \quad \frac{s_{\mathcal{D}}(C_{3} \oplus P_{2} \oplus C_{5})}{2} \\ \frac{s_{\mathcal{D}}(C_{3} \oplus P_{2} \oplus C_{5})}{2} \quad s_{\mathcal{D}}(C_{5} \oplus C_{5}) \right) \left( t_{U}^{C_{3} \oplus P_{2}}(x) \atop t_{U}^{C_{5}}(x) \right) dx.$$

It follows that  $c_{\mathcal{D},10}^U \ge 0$  and the equality holds only if  $s_{\mathcal{D}}(C_5 \cup C_5)t(C_5 \cup C_5, U) = 0$ and  $t_U^{C_3 \oplus P_2}(x) = \frac{z_3}{z_5}t_U^{C_5}(x)$  for almost every  $x \in [0,1]$ . In the rest, we assume that  $c_{\mathcal{D},10}^U = 0$ .

Since  $c_{\mathcal{D},10}^U = 0$ , it holds that  $t_U^{C_3 \oplus P_2}(x) = \frac{z_3}{z_5} t_U^{C_5}(x)$  for almost every  $x \in [0, 1]$ . As  $t_U^{C_3 \oplus P_2} = U^2 t_U^{C_3}$ , Proposition 3 implies that the integral of  $t_U^{C_5}$  over [0, 1] is zero, i.e.,  $t(C_5, U) = 0$ . It follows that t(H, U) = 0 for every 12-edge graph depicted in Figure 10 except for  $C_{12}, C_3 \oplus P_6 \oplus C_3, C_3 \oplus P_4 \oplus C_5, C_5 \oplus P_2 \oplus C_5, C_3 \oplus P_2 \oplus C_7$  and  $C_5 \oplus C_7$ . Since it holds that  $A \ge 0$ , i.e., the second condition of the lemma does not apply, and the vectors  $(z_3, z_5)$  and  $\left(-s_{\mathcal{D}}(C_5 \oplus C_5), \frac{s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)}{2}\right)$  are nonzero multiples of each other, we obtain that the sum of the terms  $s_{\mathcal{D}}(H)t(H, U)$ for H being one of the graphs  $C_3 \oplus P_6 \oplus C_3, C_3 \oplus P_4 \oplus C_5$  and  $C_5 \oplus P_2 \oplus C_5$  is equal to

$$\int_{[0,1]} \left( Ut_{U}^{C_{3}\oplus P_{2}}(x) \\ Ut_{U}^{C_{5}}(x) \end{array} \right)^{T} \left( s_{\mathcal{D}}(C_{3}\oplus P_{6}\oplus C_{3}) \\ \frac{s_{\mathcal{D}}(C_{3}\oplus P_{4}\oplus C_{5})}{2} \\ s_{\mathcal{D}}(C_{5}\oplus P_{2}\oplus C_{5}) \\ \frac{s_{\mathcal{D}}(C_{3}\oplus P_{4}\oplus C_{5})}{2} \\ s_{\mathcal{D}}(C_{5}\oplus P_{2}\oplus C_{5}) \\ \frac{s_{\mathcal{D}}(C_{3}\oplus P_{4}\oplus C_{5})}{2} \\ \frac{s_{\mathcal{D}}(C_{3}\oplus P_{4}\oplus C_{5})}{2} \\ s_{\mathcal{D}}(C_{5}\oplus P_{2}\oplus C_{5}) \\ \frac{s_{\mathcal{D}}(C_{3}\oplus P_{4}\oplus C_{5})}{2} \\ \frac{s_{\mathcal{D}}(C_{3}\oplus P_{4}\oplus C_{5})}{2} \\ s_{\mathcal{D}}(C_{5}\oplus P_{2}\oplus C_{5}) \\ \frac{s_{\mathcal{D}}(C_{3}\oplus P_{4}\oplus C_{5})}{2} \\ \frac{s_{\mathcal{D}}(C_{3}\oplus P_{6}\oplus C_{3})}{2} \\ \frac{s_{\mathcal{D}}(C_{3}\oplus P_{4}\oplus C_{5})}{2} \\ s_{\mathcal{D}}(C_{5}\oplus P_{2}\oplus C_{5}) \\ \frac{s_{\mathcal{D}}(C_{3}\oplus P_{4}\oplus C_{5})}{2} \\ \frac{s_{\mathcal{D}}(C_{3}\oplus P_{4}\oplus C_{5})}{2} \\ s_{\mathcal{D}}(C_{5}\oplus P_{2}\oplus C_{5}) \\ \frac{s_{\mathcal{D}}(C_{3}\oplus P_{4}\oplus C_{5})}{2} \\ \frac{s_{\mathcal{D}}(C_{3}\oplus P_{4}\oplus C_{5})}{2} \\ \frac{s_{\mathcal{D}}(C_{3}\oplus P_{4}\oplus C_{5})}{2} \\ s_{\mathcal{D}}(C_{5}\oplus P_{2}\oplus C_{5}) \\ \frac{s_{\mathcal{D}}(C_{3}\oplus P_{4}\oplus C_{5})}{2} \\ \frac{s_{\mathcal{D$$

Since the vectors  $(z_3, z_5)$  and  $\left(-s_{\mathcal{D}}(C_5 \oplus C_5), \frac{s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)}{2}\right)$  are multiples of each other and the third condition of the lemma does not apply, the sum of terms  $s_{\mathcal{D}}(H)t(H, U)$  for H being  $C_3 \oplus P_2 \oplus C_7$  and  $C_5 \oplus C_7$  is equal to

$$\int_{[0,1]} \left( s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_7) t_U^{C_3 \oplus P_2}(x) + s_{\mathcal{D}}(C_5 \oplus C_7) t_U^{C_5}(x) \right) t_U^{C_7}(x) \, \mathrm{d}x$$
$$= \frac{1}{z_5} \int_{[0,1]} \left( s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_7) z_3 + s_{\mathcal{D}}(C_5 \oplus C_7) z_5 \right) t_U^{C_5}(x) t_U^{C_7}(x) \, \mathrm{d}x = 0.$$

We conclude that  $c_{\mathcal{D},12}^U \geq 0$  and so the deck  $\mathcal{D}$  is of Class III.

**Lemma 32.** Let  $\mathcal{D}$  be a 12-deck such that its 10-deck is of Class III,  $s_{\mathcal{D}}(P_2) > 0$ ,  $s_{\mathcal{D}}(C_3 \oplus C_3) = s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_3) = 0$  and  $s_{\mathcal{D}}(C_3 \cup C_3) > 0$ . Further suppose that

 $4s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3)s_{\mathcal{D}}(C_5 \oplus C_5) > s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)^2. \text{ If } s_{\mathcal{D}}(C_3 \oplus C_9) > 0, \text{ then } \mathcal{D} \text{ is of Class II. Otherwise, } \mathcal{D} \text{ is of Class I if and only if } s_{\mathcal{D}}(C_{12}) > 0, \text{ i.e., if } s_{\mathcal{D}}(C_{12}) = s_{\mathcal{D}}(C_3 \oplus C_9) = 0, \text{ then } \mathcal{D} \text{ is of Class III.}$ 

Proof. Fix a 12-deck  $\mathcal{D}$  with the properties as supposed in the statement of the lemma. Note that  $s_{\mathcal{D}}(C_{\ell}) = 0$  for  $\ell = 4, 6, 8, 10$  and  $s_{\mathcal{D}}(C_3 \oplus C_{\ell}) = 0$  for  $\ell = 5, 7$  by Corollary 24. We first show that if  $s_{\mathcal{D}}(C_3 \oplus C_9) > 0$ , then  $\mathcal{D}$  is of Class II. Apply Lemma 9 with k = 11, m = 1, any  $\delta \in (0, 1)$  such that  $s_{\mathcal{D}}(C_{12})\delta \leq 1/2$ ,  $\gamma_3 = \gamma_5 = \gamma_7 = \gamma_9 = \gamma_{11} = 0, \sigma_1 = 0, \tau_{1,3} = 1, \tau_{1,5} = \tau_{1,7} = \tau_{1,11} = 0$  and  $\tau_{1,9} = -1$ , to get a non-zero kernel U with the properties listed in Lemma 9. Every principal graph H with at most twelve edges satisfies that  $s_{\mathcal{D}}(H) = 0$  or t(H, U) = 0 unless H is  $C_{12}$  or  $C_3 \oplus C_9$ . Since  $c_{\mathcal{D},2}^U = \cdots = c_{\mathcal{D},10}^U = 0$  and  $c_{\mathcal{D},12}^U \leq -1/2$ , the 12-deck  $\mathcal{D}$  is of Class II.

We now prove that if  $s_{\mathcal{D}}(C_3 \oplus C_9) = 0$ , then  $\mathcal{D}$  is of Class I or Class III. Following the line of arguments presented in the proofs of Lemmas 16 and 21, it is enough to establish that the deck  $\mathcal{D}$  is of Class III when  $s_{\mathcal{D}}(C_{12}) = 0$ ; note that if  $s_{\mathcal{D}}(C_{12}) = 0$ , then the deck  $\mathcal{D}$  is not of Class I by Lemma 12.

Let U be an arbitrary non-zero kernel. The coefficient  $c_{\mathcal{D},2}^U$  is positive unless U is balanced. So, we can assume that U is balanced. It follows that  $c_{\mathcal{D},4}^U = 0$  and  $c_{\mathcal{D},6}^U = s_{\mathcal{D}}(C_3 \cup C_3)t(C_3 \cup C_3, U) \ge 0$  and the equality holds only if  $t(C_3, U) = 0$ . Hence, we assume that  $t(C_3, U) = 0$  in the rest of the proof. It follows that  $c_{\mathcal{D},8}^U = 0$  and

$$c_{\mathcal{D},10}^{U} = s_{\mathcal{D}}(C_{5} \cup C_{5})t(C_{5}, U)^{2} + \int_{[0,1]} \begin{pmatrix} t_{U}^{C_{3} \oplus P_{2}}(x) \\ t_{U}^{C_{5}}(x) \end{pmatrix}^{T} \begin{pmatrix} s_{\mathcal{D}}(C_{3} \oplus P_{4} \oplus C_{3}) & \frac{s_{\mathcal{D}}(C_{3} \oplus P_{2} \oplus C_{5})}{2} \\ \frac{s_{\mathcal{D}}(C_{3} \oplus P_{2} \oplus C_{5})}{2} & s_{\mathcal{D}}(C_{5} \oplus C_{5}) \end{pmatrix} \begin{pmatrix} t_{U}^{C_{3} \oplus P_{2}}(x) \\ t_{U}^{C_{5}}(x) \end{pmatrix} dx.$$

Since the matrix in the integral above has both eigenvalues positive (as  $4s_{\mathcal{D}}(C_3 \oplus P_4 \oplus C_3)s_{\mathcal{D}}(C_5 \oplus C_5) > s_{\mathcal{D}}(C_3 \oplus P_2 \oplus C_5)^2$ ), we conclude that  $c_{\mathcal{D},10}^U \ge 0$  and the equality holds only if  $t_U^{C_3 \oplus P_2}(x) = t_U^{C_5}(x) = 0$  for almost every  $x \in [0, 1]$ . Hence, if  $c_{\mathcal{D},10}^U = 0$ , then  $s_{\mathcal{D}}(H, U) = 0$  or t(H, U) = 0 for every 12-edge principal graph H. It follows that if if  $c_{\mathcal{D},10}^U = 0$ , then  $c_{\mathcal{D},12}^U = 0$ . We conclude that the 12-deck  $\mathcal{D}$  is of Class III.

We are now ready to state the main theorem of this section.

**Theorem 33.** Let  $\mathcal{D}$  be a 12-deck with  $s_{\mathcal{D}}(P_2) > 0$ . If the 10-deck of  $\mathcal{D}$  is of Class I or of Class II, then  $\mathcal{D}$  is of Class I or of Class II, respectively. Otherwise, the deck  $\mathcal{D}$  is of Class I, Class II or Class III as determined in the diagram in Figure 11.

*Proof.* The proof follows by inspecting the diagram in Figure 11 and verifying that every path leading to the label Class I, Class II and Class III is in line with the description given in Lemmas 25-32.

![](_page_43_Figure_0.jpeg)

Figure 11: The classification of 12-decks with  $s_{\mathcal{D}}(P_2) > 0$  whose 10-deck is of Class III; we omit the subscript  $\mathcal{D}$  in the diagram.

## 8 Conclusion

Our characterization of the possible behavior of the initial twelve terms of the polynomial  $t(H, 1/2 + \varepsilon U) + t(H, 1/2 - \varepsilon U)$  indicates that a complete characterization of locally common graphs would be complex. Still, our results suggest the following two problems that could shed more light on a possible characterization of locally common graphs.

**Problem 1.** Is it true that if two graphs H and H' have the same length g of the shortest even cycle and the same counts of principal graphs in their g-decks, then both H and H' are either locally common or not locally common? In other words, can it be determined whether H is locally common based on the frequencies of principal graphs in the g-deck of H, where g is the length of the shortest even cycle in H?

Problem 1 would follow from establishing that every deck containing an even cycle is of Class I or II; we believe this to be the case but we were not able to find a short argument. However, we do not have a suspected answer to offer to the next problem, although Theorems 18, 23 and 33 suggests that the answer could also be positive. In order to state the problem, we need to introduce a definition: a graph G is *basic* if every component of G is a cycle or isomorphic to  $C_{\ell} \oplus P_n \oplus C_{\ell'}$  for a non-negative integer n and some odd numbers  $\ell$  and  $\ell'$  (the value of  $\ell$ ,  $\ell'$  and n can be different for different components of G).

**Problem 2.** Is it true that if two graphs H and H' have the same length g of the shortest even cycle and the same counts of basic graphs in their g-decks, then both H and H' are either locally common or not locally common? In other words, can it be determined whether H is locally common based on the frequencies of basic graphs in the g-deck of H, where g is the length of the shortest even cycle in H?

# Acknowledgement

The authors would like to thank Martin Kurečka for his comments on the topics covered in this paper.

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